

## CR-05 - Goals and Outcomes

### **Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)**

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

During the 2022 Program Year, a total amount of \$2,105,727.64 was expended on CDBG Activities and administration costs identified in the 2022 annual Action Plan and in previous Action Plans. HUD requires a minimum of 70 percent of CDBG expenditures (not including planning and administration) benefit LMI persons or households over a one to three year reporting period. For CDBG-CV, \$778,288.86 was expended through Public Service activities to prevent, prepare for and respond to the Coronavirus. During PY 2022, all funds other than planning and administration, were expended on activities benefitting 100 percent LMI persons and households of Lexington County.

During PY 2022, a total of \$487,473.25 in HOME funds were expended for administrative and project activities identified in the Annual Action Plans. Some expenditures for activities include down Payment Assistance, Comprehensive Housing Rehabilitation and Acquisition of Affordable Housing. All funds expended on these activities benefitted LMI residents of Lexington County.

In April of 2021, Lexington County received funding for the HOME Investment Partnerships-American Rescue Plan in the amount of \$2,610,353 to help the county provide housing, shelter, and services for people experiencing or at risk of homelessness, fleeing domestic violence, sexual assault, stalking and vulnerable populations at risk of housing instability. Lexington County has expended in 2022 for Administration and Planning \$159,131.20.

During PY 2022, ESG funds in a total amount of \$244,857.42 was expended for homeless prevention, emergency shelter, street outreach and HMIS reporting. ESG-CV funds expended were \$454,322.22 for homeless prevention and emergency shelter.

Due to the COVID-19 Pandemic, on March 27, 2020, Congress passed the Coronavirus Aid, Relief and, Economic Security Act (CARES Act) (Public Law 116-136) to directly address the impacts of the Novel Coronavirus and COVID-19. These funds were used to undertake projects to prevent, prepare for, and respond to the Coronavirus pandemic. Lexington County received \$2,501,688 in Community Development Block Grant Coronavirus (CDBG-CV) funds and \$542,372 (round 1) and a second round in the amount of \$1,203,878 in Emergency Solutions Grant Coronavirus (ESG-CV) funds.

There were several applicants for the HOME Down Payment Assistance program but the applicants were unable to find an affordable home.

Therefore, our goal for our Homebuyer Assistance Program was not reached.

**Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)**

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
2015 CDBG-Disaster Recovery	Affordable Housing Homeless Non-Homeless Special Needs Housing Buyout		Homeowner Housing Rehabilitated	Household Housing Unit	17	17	100.00%			
2015 CDBG-Disaster Recovery	Affordable Housing Homeless Non-Homeless Special Needs Housing Buyout		Homelessness Prevention	Persons Assisted	81	81	100.00%			

2015 CDBG-Disaster Recovery	Affordable Housing Homeless Non-Homeless Special Needs Housing Buyout		Buildings Demolished	Buildings	81	81	100.00%			
Action on Homeless	Homeless	ESG: \$	Homeless Person Overnight Shelter	Persons Assisted	350	0	0.00%	350	441	126.00%
Action on Homeless	Homeless	ESG: \$	Overnight/Emergency Shelter/Transitional Housing Beds added	Beds	200	0	0.00%	200	0	0.00%
Action on Homeless	Homeless	ESG: \$	Homelessness Prevention	Persons Assisted	200	0	0.00%	200	441	220.50%
Education/Promotion of Fair Housing Practices	Affordable Housing	CDBG: \$	Other	Other	500	210	42.00%			
Homebuyer Assistance Program	Affordable Housing	HOME: \$	Homeowner Housing Added	Household Housing Unit	0	0		4	0	0.00%
Homebuyer Assistance Program	Affordable Housing	HOME: \$	Direct Financial Assistance to Homebuyers	Households Assisted	40	4	10.00%			
Housing for Non-Homeless Special Population	Affordable Housing	CDBG: \$ / HOME: \$	Rental units rehabilitated	Household Housing Unit	25	1	4.00%	1	1	100.00%

Housing for Non-Homeless Special Population	Affordable Housing	CDBG: \$ / HOME: \$	Homeowner Housing Added	Household Housing Unit	0	0		3	1	33.33%
Lead-Based Paint Hazards Reduction	Lead Based Paint Hazard Remediation	CDBG: \$	Homeowner Housing Rehabilitated	Household Housing Unit	25	21	84.00%			
Owner Occupied Housing Rehabilitation	Affordable Housing Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Rental units rehabilitated	Household Housing Unit	0	6		2	0	0.00%
Owner Occupied Housing Rehabilitation	Affordable Housing Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	110	27	24.55%	9	9	100.00%
Public Facilities/Infrastructure Development	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	0		25000	15280	61.12%

Public Facilities/Infrastructure Development	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit	Households Assisted	25000	15280	61.12%			
Public Services	Non-Homeless Special Needs	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	3000	687	22.90%	3500	3461	98.89%
Public Services	Non-Homeless Special Needs	CDBG: \$	Homeless Person Overnight Shelter	Persons Assisted	0	441		0	441	

**Table 1 - Accomplishments – Program Year & Strategic Plan to Date**

**Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.**

Since the inception of the County’s CDBG, HOME and ESG programs, various projects and activities have been implemented to address projects identified in the plan. During PY 2022 activities completed include operational support for Midlands Housing Alliance, ICRC Afternoon Adventures for Children with Special needs ICRC Athletic Scholarship program Boys and Girls Clubs of America Harvest Hope Diabetic Pantries Brookland Bathroom Renovations, Old Orangeburg Sewer Line, West Columbia Collection Systems, and Batesburg/Leesville Floating Aerators, Phase I and II. Also completed for PY 2022 was fair housing outreach, rental of 4 digital boards throughout Lexington County promoting the month of Fair Housing and fair housing collaboration with neighboring CDBG recipients. Lexington County reached out to several afterschool program organizations and initiated a Fair Housing Poster Contest for grades K-6. We received 30 entries for the contest. Out of the 30 entries, there was a first, and second place winner and third place winner with 27 honorable mentions. The winning contestants drawings were

displayed in Council Chambers and were recognized by all County Council Members. The three winning contestants artwork were displayed in Lexington Countys front lobby for the month of April.

Lexington County continues with in-house programs such as minor home, comprehensive housing repair and down payment assistance programs. All activities benefit a diverse area of low-and moderate-low income areas and residents across Lexington County.

Most activities that closed during PY22 met or exceeded their proposed beneficiary count. Due to the lack of affordable housing within Lexington County, it is making it more difficult for first time home buyers to find a home, therefore the goal of 4 DPA assisted first time homebuyers was not met along with the continuing effects of the pandemic. Lexington County is working with their CHDO's and Habitat to increase affordable housing within our area.

Lexington County along with Richland County and the City of Columbia rented digital billboards throughout the two counties to promote fair housing.

## CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG
White	1,148	4	63
Black or African American	1,479	6	151
Asian	32	0	0
American Indian or American Native	32	0	0
Native Hawaiian or Other Pacific Islander	1	0	2
<b>Total</b>	<b>2,692</b>	<b>10</b>	<b>216</b>
Hispanic	249	0	0
Not Hispanic	2,443	10	0

Table 2 – Table of assistance to racial and ethnic populations by source of funds

### Narrative

The above table does not include a category for people identified as "multiple races" therefore, the above table does not match the number of people actually served by our ESG program. An additional 12 individuals were assisted but not included above as they fell under the "multiple races".

## CR-15 - Resources and Investments 91.520(a)

### Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	1,888,675	2,105,728
HOME	public - federal	868,910	487,473
ESG	public - federal	159,160	244,857

**Table 3 - Resources Made Available**

### Narrative

During the program year the County focused its CDBG funds on infrastructure, public facilities, neighborhood revitalization, public service activities and minor home rehab. The County focused its HOME funds on affordable housing projects and homeowner rehabilitation projects and ESG funds for Emergency Shelter and HMIS reporting. Through funding projects, it has been found that the County's most LMI areas are in the rural portions of the County, therefore the County will continue to focus on the needs of the rural Lexington County areas.

Lexington County received CARES Act funds for our CDBG and ESG programs. During 2022 Lexington County expended \$778,288.86 in CDBG-CV funds and \$454,322.22 in ESG-CV funds to help prevent, prepare and respond to the Coronavirus.

### Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Geographic Priority Area			The County of Lexington Serves all of Unincorporated and Incorporated Lexington County. No priority
The Count of Lexington has not designated specific priority areas			The County of Lexington services as the CDBG and HOME Administrator for 14 diverse regions

**Table 4 – Identify the geographic distribution and location of investments**

### Narrative

All activities are carried out within Lexington County. Geographic Distribution within Lexington County as follows:

City of West Columbia 29%, Town of Batesburg/Leesville 30%, Columbia (Lexington County) 19%, Unincorporated Lexington 22% CDBG and Unincorporated Lexington County HOME 90%. A map of the



Geographic Distribution can be found in Attachment #2.

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## Leveraging

**Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.**

The County of Lexington's community consultation process included input from public and private agencies. Additionally, the County leverages its resources by requiring a 10% match when awarding funding. Moreover, during Program Year 2022, the County also leveraged our resources by using the technical expertise of other County departments, as well as, when possible collaborating with nearby Entitlement Communities and Participating Jurisdictions (PJs). The County of Lexington believes in partnering with area non-profits in an effort to leverage resources in its support of various community development projects. HOME Investment Partnerships program requires that Participating Jurisdictions (PJs) provide match of no less than 25 percent (non-federal) of the total HOME funds drawn down for project costs. Match is a permanent contribution to affordable housing. Eligible sources of match are met by sweat equity, value of donated labor and professional services. The PJ must make matching contribution to supplement the ESG program in an amount that equals the fiscal year grant for ESG. The County requires the ESG subrecipient to match their grant award by 100%.

The County has no public owned land or property that was used to address the needs identified in the plan.

<b>Fiscal Year Summary – HOME Match</b>	
1. Excess match from prior Federal fiscal year	2,129,117
2. Match contributed during current Federal fiscal year	107,000
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	2,236,117
4. Match liability for current Federal fiscal year	104,125
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	2,131,992

**Table 5 – Fiscal Year Summary - HOME Match Report**

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
Non 2022 01	10/01/2022	0	0	0	0	83,000	0	83,000
Non 2022 02	11/01/2022	0	0	0	0	0	0	0
Non 2022 03	12/01/2022	0	0	0	0	0	0	0
Non 2022 04	01/01/2023	0	0	0	0	24,000	0	24,000
Non 2022 05	02/01/2023	0	0	0	0	0	0	0
Non 2022 06	03/01/2023	0	0	0	0	0	0	0
Non 2022 07	04/01/2023	0	0	0	0	0	0	0
Non 2022 08	05/01/2023	0	0	0	0	0	0	0
Non 2022 09	06/01/2023	0	0	0	0	0	0	0
Non 2022 10	07/01/2023	0	0	0	0	0	0	0
Non 2022 11	08/01/2023	0	0	0	0	0	0	0
Non 2022 12	09/01/2023	0	0	0	0	0	0	0

Table 6 – Match Contribution for the Federal Fiscal Year

**HOME MBE/WBE report**

Program Income – Enter the program amounts for the reporting period				
Balance on hand at begin-ning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
7,020	28,583	35,014	0	590

Table 7 – Program Income

<b>Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period</b>						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
<b>Contracts</b>						
Dollar Amount	206,713	0	0	178,568	0	28,145
Number	2	0	0	1	0	1
<b>Sub-Contracts</b>						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
<b>Contracts</b>						
Dollar Amount	0	0	0			
Number	0	0	0			
<b>Sub-Contracts</b>						
Number	0	0	0			
Dollar Amount	0	0	0			

**Table 8 - Minority Business and Women Business Enterprises**

<b>Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted</b>						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

**Table 9 – Minority Owners of Rental Property**

<b>Relocation and Real Property Acquisition</b> – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0		0		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		1		637		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

**Table 10 – Relocation and Real Property Acquisition**

## CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	2	0
Number of Non-Homeless households to be provided affordable housing units	19	10
Number of Special-Needs households to be provided affordable housing units	0	0
<b>Total</b>	<b>21</b>	<b>10</b>

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	4	0
Number of households supported through The Production of New Units	4	0
Number of households supported through Rehab of Existing Units	9	9
Number of households supported through Acquisition of Existing Units	4	1
<b>Total</b>	<b>21</b>	<b>10</b>

Table 12 – Number of Households Supported

**Discuss the difference between goals and outcomes and problems encountered in meeting these goals.**

During PY 2022, affordable housing fell short due to the inability of potential first time homebuyers to find an affordable home as well as affordable housing being available for our CHDOs. Lexington County began working closely with Habitat of Humanity to provide affordable housing for Lexington County residents. The County will continue to monitor our annual goals to ensure all are met.

Lexington County allocated \$500,000 of Cares Act funds to assist Lexington County residents affected by COVID-19 with mortgage, rental, and utility assistance. In 2022, Lexington County through its inhouse LexCo CARES Program assisted a total of 9 applicants in rental, mortgage, and utility assistance. In CDBG-CV the total amount of funds expended for rental assistance was \$20,320.16, mortgage assistance \$19,897.20, and utility assistance \$1,194.96.

**Discuss how these outcomes will impact future annual action plans.**

Affordable housing stock in Lexington County is decreasing tremendously. Since Covid-19 housing prices have doubled making it a non-market for low-income families. It will take more time to identify existing for-sale homes on the market in Lexington County that will be affordable and non-cost burden for qualifying households. The County also plans on working with non-profits and for-profits on the possibility on constructing new affordable housing for our low income households.

The County is also planning on working with non-profits to construct affordable housing for the homeless, considering restructuring our HOME Program to include TBRA to assist ELI, LI, MOD, MI, and the homeless with affordable rental housing.

**Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.**

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	0	2
Low-income	0	5
Moderate-income	1	2
<b>Total</b>	<b>1</b>	<b>9</b>

**Table 13 – Number of Households Served**

**Narrative Information**

During PY 2022, Lexington County completed 9 homeowner rehabs; 2 homeowners were extremely low income, 5 were low-income families and 2 were mod-low income families. The homeowner characteristics consist of elderly adults, disabled adults, and single parents. The County had several applications for the first time homebuyer program, but unfortunately the applicants were unable to find a home that was affordable. The County was able to fund one of our CHDO's, Santee Lynches, to purchase a home and it is currently rented to a low-income family (single parent with one child).

## **CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)**

**Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:**

### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

Lexington County allocated \$500,000 of Cares Act funds to assist Lexington County residents affected by COVID-19 with mortgage, rental, and utility assistance. In 2022, Lexington County through its inhouse LexCo CARES Program assisted a total of 7 applicants in rental, mortgage, and utility assistance. In CDBG-CV the total amount of funds expended for rental assistance was \$20,426.10, mortgage assistance \$2,686.63, and utility assistance \$1,874.40.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

The County of Lexington, our non-profit organizations and municipal partners will continue to dedicate funding and support the operations of expansion of existing emergency shelters throughout the county.

### **Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

Each year the County of Lexington, through the support of its subrecipients works both directly and indirectly assisting individuals from becoming homeless once they are discharged from publicly funded institutions. Job training programs for the disabled, training and counseling services through Sistercare, Inc for battered women and their children, food stability programs through Harvest Hope Food Bank, collaborations with Cayce Housing Authority, are all supported through our CDBG program. Working with the Central Midlands Council of Governments, area non-profits, as well as its fourteen (14) municipalities, Lexington County has targeted significant CDBG, HOME and ESG resources within the low-income areas described in this Plan. Each year the County works to leverage these resources, which in turn acts as a catalyst to spur additional public and provide investments in our Low and Moderate Income areas and serve to increase the quantity and quality of affordable housing, as well as help low-to-moderate low income residents acquire the skills necessary to improve their employment opportunities.

### **Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to**



**permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

The County of Lexington, through the support of its subrecipients, works both directly and indirectly assisting individuals from becoming homeless. Through our support with job training programs for the disabled, supporting Midlands Housing Alliance/Transitions in training and counseling services as well as through Sistercare, Inc. for battered women and their children, food stability programs through Harvest Hope Food Bank, and Collaborations with the Cayce Housing Authority, all assist with the transition to permanent housing and independent living. Working with Central Midlands Council of Governments, area non-profits, as well as its fourteen (14) municipalities, Lexington County has targeted significant CDBG, HOME and ESG resources within the low-income areas described in this Plan. Each year the County works to leverage these resources, which in turn acts as a catalyst to spur additional public and private investments in our Low and Moderate Income areas and serves to increase the quantity and quality of affordable housing, as well as help low-to-moderate low income residents acquire the skills necessary to improve their employment opportunities.

## **CR-30 - Public Housing 91.220(h); 91.320(j)**

### **Actions taken to address the needs of public housing**

While Lexington County does not operate any public housing units, the County of Lexington does work with the Cayce Housing Authority (CHA) and the State Department of Housing and Finance; the CHA and State Department of Housing and Finance offer Section 8 Housing Choice Vouchers. The CHA has a small number of public housing units. The County is engaged with CHA in an effort with HOME funds in expanding the availability of public housing to the community.

### **Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**

All of the communities at the Cayce Housing Authority (CHA) have representatives that participate in a Community Club that meets every other month. Due to the pandemic, these are being held virtually. Residents provide input to management as to their concerns and offer suggestions and/or solutions. THE CHA has an extensive network of partners to provide case management for all public housing residents. These partnerships provide a variety of educational, cultural, and recreational programs for residents. There are also individual programs designed strictly for elderly persons residing at Spencer Place. Additionally, the CHA has a Career Development Program that provides a comprehensive training for residents wanting employment or wanting to improve their work status (part-time to full time and or positions with higher salaries). The CHA also maintains a listing of available jobs in the area and works with local employers to identify potential employment. All of these programs are free to residents. Case managers are also available to work with families on establishing goals such as credit repair educational attainment, and counseling with individual problems. Case Managers have been trained in VAWA (Violence Against Women Act) compliance.

One of the most important programs for residents is Homeownership Training. The CHA offers a 16-hour course in becoming a homeowner: financing a home, credit worthiness, budgeting, landscaping, home maintenance, and basic terminology for mortgages. Completion of this program also enables public housing residents to participate in special homeownership programs offered through community development agencies, local banks and financial institutions.

### **Actions taken to provide assistance to troubled PHAs**

Not Applicable. Columbia Housing Authority is not on the list of troubled PHAs.

## **CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)**

**Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)**

The County of Lexington's Grant Programs Division through its CDBG and HOME housing acquisition and rehabilitation programs have addressed some of the issues of affordability by increasing the availability of affordable single family homes in the County's rental inventory through its partnerships with our local CHDOs and developers. Additionally, the County is working with Habitat for Humanity, the faith based community and the Cayce Housing Authority in an effort to identify strategies for collaborative funding opportunities through the use of CDBG, HOME, tax credits, etc.

**Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)**

Grant Programs Division staff continue to engage the non-profit, faith based, state service providers and social agencies in an effort to further identify the resources available to address the housing, child care, mental health services, and economic disparities that exist in the County. Through the Consolidated Plan consultation process, County staff has been able to identify organizations that are providing services in the community. It is important that the County serve as a catalyst to promote collaboration amongst the various organizations. During PY 2022, the Grant Programs Division continued to reach out to several new and existing service providers to address their underserved needs. With the additional CDBG-CV funds and ESG-CV funds, it resulted in several new relationships.

**Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)**

It is estimated that 8.3% of the housing stock in the County may have some lead-based paint (LBP). Of these units, 96-100% are estimated to be low-and moderate income households. The County continues its efforts towards reducing the hazards of lead based paint to its citizens and particularly those who are LMI. Staff maintains a variety of HUD-developed brochures describing the dangers of lead and lead base paint. The brochures include information on common instances of LBP such as home remodeling. The County continues to make these brochures available to the public and has ongoing communication with the South Carolina Department of Health and Environmental Control and the County's Building Services division to distribute this information. During PY 2022 the County's Lead Based Paint Inspectors conducted approximately 3 evaluations/inspections as part of the County's Minor Home and Comprehensive Housing repair programs.

The County's CDBG Minor Home Repair and its HOME Comprehensive Housing Rehabilitation programs has incorporated in its policies lead based paint testing and abatement. The County has contracted a lead based paint consulting firm who goes out and inspects all homes that were built prior to 1978 and prepares a formal report to the County. During PY22 the County's Lead Base Paint inspector conducted 3 LBP evaluations/inspections and clearance inspections as part of the County's Minor Home

and Comprehensive Housing repair programs.

**Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)**

The County of Lexington continues to utilize CDBG and HOME resources toward the public service, low- and moderate low income populations of the County. The work of the County in conjunction with our numerous partners, such as Sistercare, Habitat for Humanity, One 80 Place, Homeless No More, Mission Lexington and United Way of the Midlands will act as a catalyst to invite additional public and private investment of capital and services to increase the quantity and quality of affordable housing and to help low to moderate low income residents acquire needed information, knowledge, and skills to improve their employment opportunities.

**Actions taken to develop institutional structure. 91.220(k); 91.320(j)**

The County has collaborated with the Urban League of Greater Columbia, The Community Relations Council, as well as the Central Midlands Council of Governments, and USDA-Rural to perform comprehensive outreach and education activities throughout the County of Lexington. The Grant Programs Division will continue to aggressively seek partners that will encourage the development of strategies for affordable housing in the County of Lexington. Over the next 4 years, the County of Lexington anticipates expending approximately \$30,000 on fair housing programs and services as well as working with SC Legal services to provide free legal services and public meetings for the residents of Lexington County who feel that they have been discriminated against.

**Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)**

The Cayce Housing Authority is the only public housing authority in the County and assists nearly 100 residents in five different housing communities. During Program Year 2022, the Cayce Housing Authority expended an estimate amount of \$165,000.00 toward housing assistance for the areas of low-and moderate low income residents.

**Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)**

The mission of Community Development embodies fair and equal access to decent, safe and affordable housing and the County integrates this mission in a day to day business of the Community Development Department. Although HUD suspended the AFH tool in May, 2018, Lexington County continues to create The Analysis of Impediments to Fair Housing for Lexington County and continues to create data using the integrated assessment tool to help the County look beyond the ordinary and to analyze the community from a demographic point of view. The impediment issues emerged from an extensive review of current policies and practices in both the public and private sectors, interviews with key service providers and a detailed examination of social and economic data. Each major need

is summarized as follows, along with a brief overview of the existing conditions surrounding each issue and proposed implementation strategies to address identified resource gaps and needs. A list of some measures that can be used to assess progress in mitigating impediments to fair housing is also included for each key issue.

Impediment 1: There is a need for educational programs within Lexington County to assist the public. Areas such as pre-housing counseling, Fair Housing Laws, Homeowner counseling and credit counseling should be covered to assist first time homeowners.

Actions to Date: The County has continued to partner with local organizations to hold workshops and other events to provide educational opportunities to the community. Government agencies in the area (including the City of Columbia and Richland County) have worked with private entities who are HUD certified Housing Counselors, to leverage their expertise to train and educate future homebuyers in Lexington in County.

Impediment 2: Lexington County has a need for more affordable housing.

Actions to Date: As stated in the Lexington County Con Plan. The County continues to support local groups, such as Habitat for Humanity, the Cayce Housing Authority, local developers and non-pro-profit community organizations, as well as USDA-Rural, who work to provide affordable housing and seek viable financial options for low to moderate-low income home buyers. County staff also work with local lenders to identify changes or patterns in lending practices, especially those that impact low-income, minorities, Section 3 residents, and other special populations. The County of Lexington anticipates assisting more than 100 families with owner-occupied housing rehabilitation and increasing the availability of affordable housing through its housing acquisition, construction and repair programs through CDBG and HOME programs.

## **CR-40 - Monitoring 91.220 and 91.230**

**Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements**

Lexington County will comply with its monitoring responsibilities of subrecipient projects funded under Title I of the Housing and Community Development Act of 1974, as amended. The Grants staff will utilize both "desk monitoring" and "internal/on-site" monitoring to assess the quality of program performance over the duration of the agreement or contract.

The areas monitored include: Overall Management System, Program Benefit, Record Keeping, Progress in Activities, National Objectives, Environmental Review, Financial Management, Procurement, Labor Standards, Non-Construction Contracts, Acquisition/Relocation, Compliance with Federal Regulations.

## **Citizen Participation Plan 91.105(d); 91.115(d)**

**Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.**

Citizens are encouraged to participate and provide comment on the Five-Year Consolidated Plan, One-Year Annual Action Plan, substantial amendments and the CAPER. Prior to submission to HUD, the County will place a notice in The Chronicle and/or post notices throughout the County Administration Building, informing citizens of the availability of the document and the time period allowed for submitting comments before the documents are finalized and submitted to HUD. Any public comments received during the comment period shall be considered, summarized and attached to the documents prior to submission to HUD. The number of days allowed for public comment on each document are as follows:

Five-Year Consolidated Plan - 30 Days

One-Year Annual Action Plan - 30 Days

Substantial Amendment(s) - 30 Days

CAPER - 15 Days

Copies of the AAP, Consolidated Plan, substantial amendments and CAPER will be available to the public for review during normal County business hours at the Department of Community Development, Lexington County Administration Building. All documents will be made available in a form

accessible to persons with disabilities upon request and reasonable notice. No comments were received during the Public Hearings for the CAPER.

FINAL

**CR-45 - CDBG 91.520(c)**

**Specify the nature of, and reasons for, any changes in the jurisdiction’s program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.**

Due to the COVID-19 Pandemic, Lexington County was awarded new program grant funds, Community Development Block Grant Coronavirus (CDBG-CV) and Emergency Solutions Grant (ESG-CV) for PY 2019 and HOME-ARP. In CDBG-CV1 was in the amount of \$1,086,200 and CDBG-CV3 in the amount of \$1,415,488. ESG-CV1 was in the amount of \$542,372 and ESG-CV2 in the amount of \$1,203,878 and HOME-ARP in the amount of \$2,619,353. CDBG-CV funds were awarded to Sistercare, Inc., Harvest Hope Food Bank, Midlands Housing Alliance/Transitions, Brookland Foundation and Boys and Girls Club of America. ESG-CV funds were awarded to Brookland Foundation, Sistercare, Inc., One 80 Place, Homeless No More, MIRCI and Arc of the Midlands. Lexington County is keeping the ESG-CV application process open, as all funding has not been expended. Home-ARP is currently in the planning process.

**Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?**

No

**[BEDI grantees] Describe accomplishments and program outcomes during the last year.**



## **CR-50 - HOME 24 CFR 91.520(d)**

### **Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations**

Please list those projects that should have been inspected on-site this program year based upon the schedule in 24 CFR §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

The County of Lexington HOME funded activities currently under the affordability period requirements are Ridge Terrace Rental Housing Projects, Lloydswood Rental Housing Project, 101 Wynnsun Trail, 137 Tylers Trail, 145 Wynnsun Trail 115 Shirway Court, 160 Toucan Way, 100 Amberchase Drive, 117 Linville Court, 217 S. Wrenwood, 402 and 404 Camelot Court, 551 Old Bush River Road, 237 Friarsgate Blvd, 205 S. Wrenwood, 312 Cross Hill, 145 Burma Road, and 555 Bush River Road. Lexington County monitors all affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations. Lexington County attributes HOME funds for the development of affordable rental housing owned by our CHDO's. All CHDOs are required to provide inspection reports and verify tenant income annually. Lexington County does on-site monitoring as well as desk monitoring on all affordable units.

All properties have been inspected, no problems were identified, and no repairs were needed as the homes have all be upgraded with new appliances and brought up the International Residential Building Codes during rehabilitation.

### **Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 24 CFR 91.520(e) and 24 CFR 92.351(a)**

The HOME units are currently owned by Community Assistance Providers (CAP) and/or Santee Lynches Affordable Housing and CDC. Both organizations have an Affirmative Fair Housing Marketing Plan and compliance is verified through yearly monitoring and their reports.

The Lexington County Community Development Department provides for education workshops, the Analysis of Impediments and numerous outreach events to further Fair Housing, and the homeowner rehab selection criteria includes a non-discrimination statement.

### **Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics**

Program income used during PY 2022 totaled \$35,013.81. Program income was used for all projects associated with HOME programs. The projects consisted of Comprehensive Housing Rehabilitation (\$35,013.81 PI) and Homebuyer Assistance Program (\$0.00 PI). There were 8 Comprehensive Housing Repairs (CHR), 0 Homebuyer Assistance and 1 CHDO aquisition with rehab. CHR homeowner

characteristics consist of 3 elderly adults (one adult is disabled), 1 non elderly single parent with 1 child, 1 single elderly adult, 3 single adults (1 is disabled). CHDO acquisitions rented to a single parent with 1 child.

**Describe other actions taken to foster and maintain affordable housing. 24 CFR 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 24 CFR 91.320(j)**

The County continues to support local groups, such as Habitat for Humanity, the Cayce Housing Authority, local developers and non-profit community housing organizations who work to provide affordable homes and seek viable financing options for low to moderate low income home buyers. The County also works with local lenders to identify changes in lending practices, especially those that impact low-income, minorities, Section 3 residents and other special populations.

DRAFT

## CR-58 – Section 3

Identify the number of individuals assisted and the types of assistance provided

<b>Total Labor Hours</b>	<b>CDBG</b>	<b>HOME</b>	<b>ESG</b>	<b>HOPWA</b>	<b>HTF</b>
Total Number of Activities	0	0	0	0	0
Total Labor Hours	0	0	0		
Total Section 3 Worker Hours	0	0	0		
Total Targeted Section 3 Worker Hours	0	0	0		

**Table 14 – Total Labor Hours**

<b>Qualitative Efforts - Number of Activities by Program</b>	<b>CDBG</b>	<b>HOME</b>	<b>ESG</b>	<b>HOPWA</b>	<b>HTF</b>
Outreach efforts to generate job applicants who are Public Housing Targeted Workers	0	0	0		
Outreach efforts to generate job applicants who are Other Funding Targeted Workers.	0	0	0		
Direct, on-the job training (including apprenticeships).	0	0	0		
Indirect training such as arranging for, contracting for, or paying tuition for, off-site training.	0	0	0		
Technical assistance to help Section 3 workers compete for jobs (e.g., resume assistance, coaching).	0	0	0		
Outreach efforts to identify and secure bids from Section 3 business concerns.	0	0	0		
Technical assistance to help Section 3 business concerns understand and bid on contracts.	0	0	0		
Division of contracts into smaller jobs to facilitate participation by Section 3 business concerns.	0	0	0		
Provided or connected residents with assistance in seeking employment including: drafting resumes, preparing for interviews, finding job opportunities, connecting residents to job placement services.	0	0	0		
Held one or more job fairs.	0	0	0		
Provided or connected residents with supportive services that can provide direct services or referrals.	0	0	0		
Provided or connected residents with supportive services that provide one or more of the following: work readiness health screenings, interview clothing, uniforms, test fees, transportation.	0	0	0		
Assisted residents with finding child care.	0	0	0		
Assisted residents to apply for, or attend community college or a four year educational institution.	0	0	0		
Assisted residents to apply for, or attend vocational/technical training.	0	0	0		
Assisted residents to obtain financial literacy training and/or coaching.	0	0	0		
Bonding assistance, guaranties, or other efforts to support viable bids from Section 3 business concerns.	0	0	0		
Provided or connected residents with training on computer use or online technologies.	0	0	0		
Promoting the use of a business registry designed to create opportunities for disadvantaged and small businesses.	0	0	0		
Outreach, engagement, or referrals with the state one-stop system, as designed in Section 121(e)(2) of the Workforce Innovation and Opportunity Act.	0	0	0		

Other.	0	0	0		
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Table 15 – Qualitative Efforts - Number of Activities by Program

**Narrative**

In 2022, Section 3 was not triggered in any CDBG, HOME or ESG programs.

**CR-60 - ESG 91.520(g) (ESG Recipients only)**

**ESG Supplement to the CAPER in *e-snaps***

**For Paperwork Reduction Act**

**1. Recipient Information—All Recipients Complete**

**Basic Grant Information**

**Recipient Name** LEXINGTON COUNTY  
**Organizational DUNS Number** 030115885  
**UEI**  
**EIN/TIN Number** 576000379  
**Identify the Field Office** COLUMBIA  
**Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance** Columbia/Midlands CoC

**ESG Contact Name**

**Prefix** Mrs  
**First Name** Sandy  
**Middle Name**  
**Last Name** Fox  
**Suffix**  
**Title** Grants Manager

**ESG Contact Address**

**Street Address 1** 212 South Lake Drive  
**Street Address 2**  
**City** Lexington  
**State** SC  
**ZIP Code** -  
**Phone Number** 8037858121  
**Extension**  
**Fax Number**  
**Email Address** sfox@lex-co.com

**ESG Secondary Contact**

**Prefix**  
**First Name**  
**Last Name**  
**Suffix**  
**Title**  
**Phone Number**  
**Extension**  
**Email Address**

**2. Reporting Period—All Recipients Complete**

**Program Year Start Date** 07/01/2022  
**Program Year End Date** 06/30/2023

**3a. Subrecipient Form – Complete one form for each subrecipient**

**Subrecipient or Contractor Name:** One 80 Place  
**City:** Charleston  
**State:** SC  
**Zip Code:** 29413, 0038  
**DUNS Number:** 960375996  
**UEI:**  
**Is subrecipient a victim services provider:** N  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 24160

**Subrecipient or Contractor Name:** SISTERCARE  
**City:** Columbia  
**State:** SC  
**Zip Code:** 29202, 1029  
**DUNS Number:** 119183515  
**UEI:**  
**Is subrecipient a victim services provider:** Y  
**Subrecipient Organization Type:** Other Non-Profit Organization  
**ESG Subgrant or Contract Award Amount:** 110000

**Subrecipient or Contractor Name:** United Way of the Midlands Inc

**City:** Columbia

**State:** SC

**Zip Code:** 29201, 3518

**DUNS Number:** 128407194

**UEI:**

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 25000

FUNNY

## CR-65 - Persons Assisted

### 4. Persons Served

#### 4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 16 – Household Information for Homeless Prevention Activities

#### 4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 17 – Household Information for Rapid Re-Housing Activities

#### 4c. Complete for Shelter

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 18 – Shelter Information

FINAL



#### 4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 19 – Household Information for Street Outreach

#### 4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 20 – Household Information for Persons Served with ESG

#### 5. Gender—Complete for All Activities

	Total
Male	0
Female	0
Transgender	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 21 – Gender Information

## 6. Age—Complete for All Activities

	Total
Under 18	0
18-24	0
25 and over	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 22 – Age Information

## 7. Special Populations Served—Complete for All Activities

### Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	0	0	0	0
Victims of Domestic Violence	0	0	0	0
Elderly	0	0	0	0
HIV/AIDS	0	0	0	0
Chronically Homeless	0	0	0	0
<b>Persons with Disabilities:</b>				
Severely Mentally Ill	0	0	0	0
Chronic Substance Abuse	0	0	0	0
Other Disability	0	0	0	0
Total (Unduplicated if possible)	0	0	0	0

Table 23 – Special Population Served

**CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes**

**10. Shelter Utilization**

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	0
Total Number of bed-nights provided	0
Capacity Utilization	0.00%

**Table 24 – Shelter Capacity**

**11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)**

F E M I N A L

## CR-75 – Expenditures

### 11. Expenditures

#### 11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2020	2021	2022
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Homelessness Prevention</b>	<b>0</b>	<b>0</b>	<b>0</b>

Table 25 – ESG Expenditures for Homelessness Prevention

#### 11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2020	2021	2022
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Rapid Re-Housing</b>	<b>0</b>	<b>0</b>	<b>0</b>

Table 26 – ESG Expenditures for Rapid Re-Housing

#### 11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2020	2021	2022
Essential Services	0	0	0
Operations	0	0	0
Renovation	0	0	0

Major Rehab	0	0	0
Conversion	0	0	0
<b>Subtotal</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Table 27 – ESG Expenditures for Emergency Shelter**

**11d. Other Grant Expenditures**

	Dollar Amount of Expenditures in Program Year		
	2020	2021	2022
Street Outreach	0	0	0
HMIS	0	0	0
Administration	0	0	0

**Table 28 - Other Grant Expenditures**

**11e. Total ESG Grant Funds**

Total ESG Funds Expended	2020	2021	2022
	0	0	0

**Table 29 - Total ESG Funds Expended**

**11f. Match Source**

	2020	2021	2022
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	0
Local Government	0	0	0

Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
<b>Total Match Amount</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Table 30 - Other Funds Expended on Eligible ESG Activities**

**11g. Total**

<b>Total Amount of Funds Expended on ESG Activities</b>	<b>2020</b>	<b>2021</b>	<b>2022</b>
	0	0	0

**Table 31 - Total Amount of Funds Expended on ESG Activities**

**Attachment**

**PR 26 CDBG and CDBG-CV Financial Summary**

**FINAL**



PART II: SUMMARY OF CDBG RESOURCES	
31 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	1,194,671.00
32 BY FISCAL YEAR	1,194,671.00
33 SUPPLEMENTAL FUNDS	0.00
34 SECTION 108 GUARANTEE FUND FUNDS	0.00
35 CURRENT YEAR PROGRAM INCOME	26,542.00
36 CUMULATIVE YEAR TO DATE TOTAL PROGRAM INCOME (FOR 31-35)	0.00
37 FUNDS REFERRED TO THE LINE-DEFINITION	0.00
38 FUNDS REFERRED TO THE LOCAL CDBG ACCOUNT	0.00
39 ADJUSTMENT TO CURRENT TOTAL AVAILABLE	0.00
40 TOTAL AVAILABLE CDBG FUNDS (41-39)	1,221,213.00
PART III: SUMMARY OF CDBG EXPENDITURES	
41 DISBURSEMENT SUBJECT TO SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	1,221,213.00
42 ADJUSTMENT TO CURRENT TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
43 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 41-LINE 42)	1,221,213.00
44 DISBURSED FUNDS FOR PLANNING/ADMINISTRATION	17,647.00
45 DISBURSED FUNDS FOR REPAIRS AND REPAIRS	0.00
46 ADJUSTMENT TO CURRENT TOTAL EXPENDITURES	0.00
47 TOTAL EXPENDITURES (SUM, LINES 43-46)	1,203,566.00
48 UNEXPENDED BALANCE (LINE 40 - LINE 47)	1,183,647.00
PART III: LOW/MOD BENEFIT THIS REPORTING PERIOD	
49 EXPENDITURES FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
50 EXPENDITURES FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
51 EXPENDITURES FOR OTHER HOUSING ACTIVITIES	1,817,600.00
52 ADJUSTMENT TO CURRENT TOTAL LOW/MOD BENEFIT	0.00
53 TOTAL LOW/MOD BENEFIT (SUM, LINES 49-52)	1,817,600.00
54 PERCENT LOW/MOD BENEFIT (LINE 53/LINE 47)	155.20%
LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS	
55 PROGRAM PERSONS (MULTI-YEAR) IN CERTIFICATION	BY FY: 20
56 CUMULATIVE NET FOR MULTI-YEAR SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
57 CUMULATIVE NET FOR MULTI-YEAR SUBJECT TO LOW/MOD BENEFIT PERSONS	0.00
58 PERCENT NET FOR MULTI-YEAR SUBJECT TO LOW/MOD BENEFIT PERSONS (LINE 57/LINE 56)	0.00%
PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS	
59 DISBURSED FUNDS FOR PUBLIC SERVICES	20,000.00
60 PS UNLIQUIDATED ON THE DATE 5: END OF CURRENT PROGRAM YEAR	70,000.00
61 PS UNLIQUIDATED ON THE DATE 5: END OF PREVIOUS PROGRAM YEAR	11,000.00
62 ADJUSTMENT TO COMPUTE TOTAL PS CALCULATIONS	0.00
63 TOTAL PS UNLIQUIDATED (LINE 59 + LINE 60 - LINE 61 - LINE 62)	79,000.00
64 UNLIQUIDATED PS	1,000,150.00
65 PRIOR YEAR PROGRAM INCOME	26,542.00
66 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
67 TOTAL SUBJECT TO PS CAP (SUM, LINES 63-66)	1,026,692.00
68 PERCENT SUBJECT TO PS CAP ACTIVITIES (LINE 64/LINE 67)	17.79%
PART V: PLANNING AND ADMINISTRATION (PA) CAP	
69 DISBURSED FUNDS FOR PLANNING/ADMINISTRATION	17,647.00
70 PA UNLIQUIDATED ON THE DATE 5: END OF CURRENT PROGRAM YEAR	10,000.00
71 PA UNLIQUIDATED ON THE DATE 5: END OF PREVIOUS PROGRAM YEAR	85,000.00
72 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
73 TOTAL PA UNLIQUIDATED (LINE 69 + LINE 70 - LINE 71 - LINE 72)	102,647.00
74 UNLIQUIDATED PA	1,000,150.00
75 CURRENT YEAR PROGRAM INCOME	26,542.00
76 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
77 TOTAL SUBJECT TO PA CAP (SUM, LINES 73-76)	1,026,692.00
78 PERCENT UNLIQUIDATED FOR PA ACTIVITIES (LINE 74/LINE 77)	17.79%







Office of Community Planning and Development  
 U.S. Department of Housing and Urban Development  
 Integrated Disbursement and Information System  
 Title II/III Funded Activity Report  
 Project Year 2015  
 LOUISIANA COUNTY - SL

DATE: 10/19/20  
 TIME: 12:46  
 PAGE: 3

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2022	3	60	0769790	Boys and Girls Club - Re-Creat Academy	04J	LHC	\$12,585.66
2022	3	692	0770666	Boys and Girls Club - Re-Creat Academy	04J	LML	\$12,585.62
2020	26	632	0675236	Minor Home Repair Program	14A	LHF	\$102.00
2022	4	702	0770666	Minor Home Repair Program	14A	LHF	\$14,515.00
2021	3	655	0670230	409H Program Project Delivery Costs	14H	Matrix Code	\$15,216.00
2021	3	655	0670245	409H Program Project Delivery Costs	14H	LHC	\$23,742.00
2021	3	655	0670251	409H Program Project Delivery Costs	14H	LHC	\$452.00
2021	9	655	0670251	409H Program Project Delivery Costs	14H	LHC	\$3,472.00
2022	13	659	0670251	409H Program Project Delivery Costs	14H	LHC	\$7,451.31
2022	13	659	0670251	409H Program Project Delivery Costs	14H	LHC	\$302.00
<b>Total</b>							<b>\$71,220.00</b>

LINE 27 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 27

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity to prevent, prepare for, and respond to Coronavirus	Activity Name	Grant Number	Fund Type	Matrix Code	National Objective	Drawn Amount
2021	3	673	0682272	No	Midlands Housing Alliance - Transitions	3110G49004	EA	007	LHC	\$21,245.00
2021	3	673	0682282	No	Midlands Housing Alliance - Transitions	3110G49004	EA	007	LHC	\$20,483.00
2021	3	673	0682282	No	Midlands Housing Alliance - Transitions	3110G49004	P	007	LHC	\$2,494.88
2022	3	680	0709212	No	Midlands Housing Alliance Sports/Recreation Support	3120G49004	EA	007	LHC	\$41,955.40
2022	3	680	0713284	No	Midlands Housing Alliance Sports/Recreation Support	3120G49004	EA	007	LHC	\$4,922.80
								<b>03T</b>	<b>Matrix Code</b>	<b>\$110,000.00</b>
2021	3	669	0682254	No	Service Rooms at White Knoll Elementary and Sec Bank Elementary	3110G49004	EA	008	LHC	\$7,775.94
2021	3	669	0682254	No	Service Rooms at White Knoll Elementary and Sec Bank Elementary	3120G49004	P	008	LML	\$595.90
2021	3	669	0691111	No	Service Rooms at White Knoll Elementary and Sec Bank Elementary	3110G49004	EA	008	LHC	\$763.46
2021	3	669	0691111	No	Service Rooms at White Knoll Elementary and Sec Bank Elementary	3120G49004	P	008	LML	\$1,561.88
2021	3	669	0709512	No	Service Rooms at White Knoll Elementary and Sec Bank Elementary	3110G49004	EA	008	LHC	\$1,561.88
2021	3	669	0709512	No	Service Rooms at White Knoll Elementary and Sec Bank Elementary	3120G49004	P	008	LML	\$595.90
2021	3	669	0710073	No	Service Rooms at White Knoll Elementary and Sec Bank Elementary	3110G49004	EA	008	LHC	\$2,822.88
2021	3	669	0718830	No	Service Rooms at White Knoll Elementary and Sec Bank Elementary	3110G49004	EA	008	LHC	\$295.39
2021	3	669	0773806	No	Service Rooms at White Knoll Elementary and Sec Bank Elementary	3110G49004	EA	008	LHC	\$1,811.00
								<b>03T</b>	<b>Matrix Code</b>	<b>\$16,046.40</b>
2021	3	623	0652142	No	HCAC Afternoon Activities	3110G19004	LA	060	LHC	\$7,172.45
2021	3	623	0652296	No	HCAC Afternoon Activities	3110G19004	FA	060	LHC	\$7,103.86
2021	3	623	0664740	No	HCAC Afternoon Activities	3110G19004	LA	060	LHC	\$5,242.07
2021	3	623	0664740	No	HCAC Afternoon Activities	3120G19004	P	060	LHC	\$1,711.64
2021	3	623	0703992	No	HCAC Afternoon Activities	3110G19004	LA	060	LHC	\$5,952.48
2021	3	624	0652190	No	Boys and Girls Club of the Midlands Re-Creat Academy	3120G16004	P	060	LHC	\$1,582.70
2022	3	088	0739512	No	HCAC Youth Athletic Scholarship	3120G49004	LA	060	LHC	\$5,242.00
2022	3	088	0740197	No	HCAC Youth Athletic Scholarship	3120G16004	FA	060	LHC	\$10,182.50
2022	3	088	0750839	No	HCAC Youth Athletic Scholarship	3120G49004	LA	060	LHC	\$4,254.10
2022	3	088	0773066	No	HCAC Youth Athletic Scholarship	3120G16004	P	060	LHC	717.90
2022	3	089	0722495	No	HCAC Afternoon Activities	3120G19004	LA	060	LHC	\$16,222.30
2022	3	089	0734564	No	HCAC Afternoon Activities	3120G16004	FA	060	LHC	\$7,103.38
2022	3	089	0734564	No	HCAC Afternoon Activities	3120G19004	P	060	LHC	\$1,241.55
2022	3	089	0740634	No	HCAC Afternoon Activities	3120G49004	LA	060	LHC	\$14,719.77
2022	3	089	0761176	No	HCAC Afternoon Activities	3120G19004	LA	060	LHC	\$16,402.50
2022	3	089	0761176	No	HCAC Afternoon Activities	3120G49004	P	060	LHC	\$1,711.64
2022	3	091	0703034	No	Boys and Girls Club - Re-Creat Academy	3120G19004	LA	060	LHC	\$4,222.90
2022	3	091	0740290	No	Boys and Girls Club - Re-Creat Academy	3120G49004	LA	060	LHC	\$8,515.44
2022	3	091	0740290	No	Boys and Girls Club - Re-Creat Academy	3120G19004	P	060	LHC	\$1,241.55
2022	3	091	0740034	No	Boys and Girls Club - Re-Creat Academy	3120G49004	LA	060	LHC	\$10,302.20
2022	3	091	0750790	No	Boys and Girls Club - Re-Creat Academy	3120G19004	LA	060	LHC	\$10,302.20
2022	3	091	0773066	No	Boys and Girls Club - Re-Creat Academy	3120G49004	LA	060	LHC	\$8,389.16
2022	3	091	0793066	No	Boys and Girls Club - Re-Creat Academy	3120G19004	P	060	LHC	\$1,282.20
								<b>03T</b>	<b>Matrix Code</b>	<b>\$165,014.81</b>
<b>Total</b>										<b>\$291,081.21</b>
										<b>\$291,081.21</b>

LINE 37 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 37

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2020	14	029	0502190	4045 Program Administration	11A		\$2,000.00



Office of Community Planning and Development  
 U.S. Department of Housing and Urban Development  
 Integrated Disbursement and Information System  
 FR20 - CDBG Federal Summary Report  
 Program Year: 2021  
 LEXINGTON COUNTY, VA

DATE: 10/09/21  
 TIME: 12:46  
 PAGE: 4

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Metric Code	National Objective	Draw Amount
2020	14	039	0567192	CDBG Program Administration	214		\$5,868.88
2020	14	039	0161091	CDBG Program Administration	214		\$1,476.00
2020	14	039	0504204	CDBG Program Administration	214		\$77,108.01
2020	14	039	044685	CDBG Program Administration	214		\$5,010.72
2021	5	001	0304688	CDBG Program Administration	214		\$7,965.98
2021	5	001	0289295	CDBG Program Administration	214		\$5,806.81
2021	5	001	0711173	CDBG Program Administration	214		\$7,960.00
2021	5	001	0711677	CDBG Program Administration	214		\$81,549.72
2021	5	001	0721907	CDBG Program Administration	214		\$4,840.55
2021	5	001	0732592	CDBG Program Administration	214		\$8,524.84
2021	5	001	0751704	CDBG Program Administration	214		\$4,005.78
2021	5	001	0751001	CDBG Program Administration	214		\$66,062.47
2021	5	001	0773733	CDBG Program Administration	214		\$155.00
<b>Total</b>							<b>\$273,801.64</b>



<b>PART I: SUMMARY OF CDBG-CV RESOURCES</b>	
31 CDBG-CV GRANT	2,501,688.00
32 FUNDS RETURNED TO THE LINE-OF-CREDIT	0.00
33 FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT	0.00
34 TOTAL CDBG-CV FUNDS AWARDED	2,501,688.00
<b>PART II: SUMMARY OF CDBG-CV EXPENDITURES</b>	
35 DISBURSED IN OTHER THAN SECTION 106 REPAYMENTS AND PLANNING/ADMINISTRATION	2,216,829.88
36 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	0.00
37 DISBURSED IN IDIS FOR SECTION 106 REPAYMENTS	0.00
38 TOTAL EXPENDITURES (SUM, LINES 35 - 37)	2,216,829.88
39 UNEXPENDED BALANCE (LINE 34 - LINE 38)	284,858.12
<b>PART III: LOW/MOD BENEFIT FOR THE CDBG-CV GRANT</b>	
40 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
41 EXPENDED FOR LOW/MOD MULTI-JUNIT HOUSING	0.00
42 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	2,120,705.79
43 TOTAL LOW/MOD CREDIT (SUM, LINES 40 - 42)	2,120,705.79
44 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 05)	2,216,829.88
45 PERCENT LOW/MOD CREDIT (LINE 43/LINE 44)	95.46%
<b>PART IV: PUBLIC SERVICE (PS) CALCULATIONS</b>	
46 DISBURSED IN IDIS FOR PUBLIC SERVICES	2,715,899.66
47 CDBG-CV GRANT	2,501,688.00
48 PERCENT OF FUNDS DISBURSED FOR PS ACTIVITIES (LINE 46/LINE 47)	90.01%
<b>PART V: PLANNING AND ADMINISTRATION (PA) CAP</b>	
49 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	0.00
50 CDBG-CV GRANT	2,501,688.00
51 PERCENT OF FUNDS DISBURSED FOR PA ACTIVITIES (LINE 49/LINE 50)	0.00%



**LINE 10 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 10**

No data returned for this view. This might be because the applied filter excludes all data.

**LINE 11 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 11**

No data returned for this view. This might be because the applied filter excludes all data.

**LINE 12 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 12**

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2021	22	620	6462901	Brookland Food Pantry	05W	LMC	\$16,713.62
			6462632	Brookland Food Pantry	05W	LMC	\$11,008.92
			6482224	Brookland Food Pantry	05W	LMC	\$8,317.96
			6404756	Brookland Food Pantry	05W	LMC	\$16,720.37
			6505898	Brookland Food Pantry	05W	LMC	\$14,649.46
			6618768	Brookland Food Pantry	05W	LMC	\$16,367.24
			6635624	Brookland Food Pantry	05W	LMC	\$15,860.25
			6543755	Brookland Food Pantry	05W	LMC	\$21,052.31
			6573866	Brookland Food Pantry	05W	LMC	\$21,625.70
			6573744	Brookland Food Pantry	05W	LMC	\$13,220.24
			6582637	Brookland Food Pantry	05W	LMC	\$18,429.19
			6503368	Brookland Food Pantry	05W	LMC	\$29,523.95
			6621260	Brookland Food Pantry	05W	LMC	\$36,740.26
			6654370	Brookland Food Pantry	05W	LMC	\$45,332.21
			6667768	Brookland Food Pantry	05W	LMC	\$27,066.90
			6665570	Brookland Food Pantry	05W	LMC	\$20,178.80
			6685272	Brookland Food Pantry	05W	LMC	\$48,142.21
			6700767	Brookland Food Pantry	05W	LMC	\$25,345.10
			6709970	Brookland Food Pantry	05W	LMC	\$16,415.16
			6713576	Brookland Food Pantry	05W	LMC	\$25,284.83
			6727051	Brookland Food Pantry	05W	LMC	\$45,908.10
			6741412	Brookland Food Pantry	05W	LMC	\$26,836.71
			6757374	Brookland Food Pantry	05W	LMC	\$18,548.49
			6775743	Brookland Food Pantry	05W	LMC	\$10,695.62
			6794859	Brookland Food Pantry	05W	LMC	\$12,319.73
			6799888	Brookland Food Pantry	05W	LMC	\$8,638.70
			6811022	Brookland Food Pantry	05W	LMC	\$9,871.67
		621	6665608	Milewide Housing Alliance - Operational Support COVID-19	03T	LMC	\$46,318.72
			6667361	Milewide Housing Alliance - Operational Support COVID-19	03T	LMC	\$3,661.28
		622	6469229	ICRC Covid-19 Response and Prevention	05B	LMC	\$34,305.46
			6473950	ICRC Covid-19 Response and Prevention	05B	LMC	\$11,450.17
			6503414	ICRC Covid-19 Response and Prevention	05B	LMC	\$31,028.78
			6688961	ICRC Covid-19 Response and Prevention	05B	LMC	\$6,518.58
		623	6451717	Boys & Girls Club - Essential Workers Camp	05D	LMC	\$25,073.94
			6461889	Boys & Girls Club - Essential Workers Camp	05D	LMC	\$20,043.21
			6473750	Boys & Girls Club - Essential Workers Camp	05D	LMC	\$17,822.63
			6503565	Boys & Girls Club - Essential Workers Camp	05D	LMC	\$26,468.32



Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2020	22	623	6535830	Boys & Girls Club - Essential Workers Camp	35D	LMC	\$20,209.00
			6525E41	Boys & Girls Club - Essential Workers Camp	35D	LMC	\$46,171.15
			6557757	Boys & Girls Club - Essential Workers Camp	35D	LMC	\$17,535.24
		634	6592F48	Harvest Hope - Ensuring Food Access Covid-19	35W	LMC	\$18,142.85
			6621280	Harvest Hope - Ensuring Food Access Covid-19	35W	LMC	\$2,837.15
		635	6490077	Nephron Clinics Lab	35W	INA	\$500,000.00
		657	6570E96	Brookland Learning Support Center	35D	LMC	\$47,078.48
			6533563	Brookland Learning Support Center	35D	LMC	\$140,133.07
			6621280	Brookland Learning Support Center	35D	LMC	\$15,545.84
			6643E61	Brookland Learning Support Center	35D	LMC	\$18,023.64
			6633389	Brookland Learning Support Center	35D	LMC	\$25,218.98
		665	6637461	Ida A. Bul Education Support Center	35D	LMC	\$12,695.15
			6611468	Ida A. Bul Education Support Center	35D	LMC	\$6,737.75
			6621200	Ida A. Bul Education Support Center	35D	LMC	\$6,758.11
			6633389	Ida A. Bul Education Support Center	35D	LMC	\$7,258.85
			6641445	Ida A. Bul Education Support Center	35D	LMC	\$10,033.94
			6657712	Ida A. Bul Education Support Center	35D	LMC	\$42,628.45
			6675400	Ida A. Bul Education Support Center	35D	LMC	\$28,670.14
			6654416	Ida A. Bul Education Support Center	35D	LMC	\$63,637.78
			6700767	Ida A. Bul Education Support Center	35D	LMC	\$10,168.16
			6706677	Ida A. Bul Education Support Center	35D	LMC	\$24,031.01
			6711162	Ida A. Bul Education Support Center	35D	LMC	\$7,426.08
			6716660	Ida A. Bul Education Support Center	35D	LMC	\$6,150.82
			6726F83	Ida A. Bul Education Support Center	35D	LMC	\$6,683.92
			6745353	Ida A. Bul Education Support Center	35D	LMC	\$6,137.88
			6757360	Ida A. Bul Education Support Center	35D	LMC	\$7,016.92
			6765741	Ida A. Bul Education Support Center	35D	LMC	\$6,750.92
			6775743	Ida A. Bul Education Support Center	35D	LMC	\$6,233.92
			6789114	Ida A. Bul Education Support Center	35D	LMC	\$4,052.22
<b>Total</b>							<b>\$2,120,705.73</b>

**LINE 16 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 16**

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2020	22	62E	6402591	Brook and Food Pantry	35W	LMC	\$16,715.62
			6480687	Brook and Food Pantry	35W	LMC	\$11,000.92
			6482324	Brook and Food Pantry	35W	LMC	\$8,317.96
			6494796	Brook and Food Pantry	35W	LMC	\$16,720.57
			6505686	Brook and Food Pantry	35W	LMC	\$14,618.45
			6518758	Brook and Food Pantry	35W	LMC	\$16,367.24
			6535624	Brook and Food Pantry	35W	LMC	\$15,681.73
			6543105	Brook and Food Pantry	35W	LMC	\$21,067.51
			6570996	Brook and Food Pantry	35W	LMC	\$21,625.70
			6573144	Brook and Food Pantry	35W	LMC	\$13,220.24
			6582657	Brook and Food Pantry	35W	LMC	\$18,424.19
			6593563	Brook and Food Pantry	35W	LMC	\$29,793.93
			6621280	Brook and Food Pantry	35W	LMC	\$38,740.25
			6637370	Brook and Food Pantry	35W	LMC	\$45,332.21
			6657763	Brook and Food Pantry	35W	LMC	\$27,068.80
			6663470	Brook and Food Pantry	35W	LMC	\$20,178.60
			6685272	Brook and Food Pantry	35W	LMC	\$48,142.31
			6700767	Brook and Food Pantry	35W	LMC	\$25,345.10
			6706675	Brook and Food Pantry	35W	LMC	\$26,415.13
			6713370	Brook and Food Pantry	35W	LMC	\$25,587.83
			6727611	Brook and Food Pantry	35W	LMC	\$45,908.10
			6741417	Brook and Food Pantry	35W	LMC	\$26,036.71
			6757374	Brook and Food Pantry	35W	LMC	\$18,548.40
			6775743	Brook and Food Pantry	35W	LMC	\$10,685.87
			6794639	Brook and Food Pantry	35W	LMC	\$12,319.73



Office of Community Planning and Development  
 U.S. Department of Housing and Urban Development  
 Integrated Disbursement and Information System  
 PR24 - CDBG-CV Financial Summary Report  
 LEXINGTON COUNTY, SC

DATE: 10-19-23  
 TIME: 14:25  
 PAGE: 4

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2020	22	020	6793986	Brockland Face Family	35W	LMC	\$4,530.00
			6611022	Brockland Face Family	35W	LMC	\$3,871.07
		021	6603008	Midlands Housing Alliance - Operational Support/COV D-19	33T	LMC	\$7,9318.79
			6664364	Midlands Housing Alliance - Operational Support/COV D-19	33T	LMC	\$3,031.28
		022	6453229	KRC Covid-19 Response and Prevention	35R	LMC	\$4,305.46
			6473950	KRC Covid-19 Response and Prevention	35R	LMC	\$11,450.17
			6509414	KRC Covid-19 Response and Prevention	35D	LMC	\$31,678.70
			6665361	KRC Covid-19 Response and Prevention	35L	LMC	\$87,518.28
		023	6451717	Boys & Girls Club - Essential Workers Camp	35D	LMC	\$23,539.94
			6461069	Boys & Girls Club - Essential Workers Camp	35D	LMC	\$20,043.71
			6473730	Boys & Girls Club - Essential Workers Camp	35D	LMC	\$17,822.63
			6603566	Boys & Girls Club - Essential Workers Camp	36D	LMC	\$20,458.23
			6505669	Boys & Girls Club - Essential Workers Camp	35D	LMC	\$29,239.60
			6525641	Boys & Girls Club - Essential Workers Camp	35D	LMC	\$7,517.15
			6667757	Boys & Girls Club - Essential Workers Camp	36L	LMC	\$17,335.24
		024	6682948	Harvest Hope - Ensuring Food Access Covid-19	35W	LMC	\$19,142.05
			6621260	Harvest Hope - Ensuring Food Access Covid-19	35W	LMC	\$3,857.15
		025	6483077	Nephro Clinical Lab	35M	LMA	\$500,000.00
		645	6639541	LexCo CARES	362	URG	\$11,871.22
			6654840	LexCo CARES	362	URG	\$3,130.00
			6650478	LexCo CARES	357	URG	\$5,107.86
			6673568	LexCo CARES	352	URG	\$2,752.88
			6687657	LexCo CARES	352	URG	\$275.30
			6693369	LexCo CARES	362	URG	\$5,730.00
			6602461	LexCo CARES	352	URG	\$2,607.06
			6621260	LexCo CARES	352	URG	\$4,220.10
			6651156	LexCo CARES	362	URG	\$2,805.00
			6681064	LexCo CARES	352	URG	\$2,900.00
			6704112	LexCo CARES	352	URG	\$134.00
			6709114	LexCo CARES	352	URG	\$1,338.00
			6723757	LexCo CARES	362	URG	\$3,915.00
			6733353	LexCo CARES	357	URG	\$5,811.03
			6741409	LexCo CARES	352	URG	\$3,712.25
			6761226	LexCo CARES	352	URG	\$7,312.85
			6807569	LexCo CARES	362	URG	\$8,758.10
			6802566	LexCo CARES	352	URG	\$20,799.00
			6602032	LexCo CARES	352	URG	\$2,157.08
		661	6673096	Brookline Learning Support Center	35D	LMC	\$4,079.19
			6603963	Brookline Learning Support Center	36D	LMC	\$141,143.07
			6621260	Brookline Learning Support Center	35D	LMC	\$15,595.84
			6643661	Brookline Learning Support Center	35D	LMC	\$18,073.64
			6663399	Brookline Learning Support Center	35D	LMC	\$83,218.96
		665	6602461	Kia A. Bull Education Support Center	36D	LMC	\$12,895.15
			6611468	Kia A. Bull Education Support Center	36D	LMC	\$5,787.76
			6621260	Kia A. Bull Education Support Center	35D	LMC	\$5,758.11
			6633080	Kia A. Bull Education Support Center	35D	LMC	\$7,256.65
			6641446	Kia A. Bull Education Support Center	35L	LMC	\$15,050.84
			6657712	Kia A. Bull Education Support Center	35D	LMC	\$4,828.45
			6675106	Kia A. Bull Education Support Center	35D	LMC	\$29,670.14
			6694416	Kia A. Bull Education Support Center	35D	LMC	\$63,557.78
			6703767	Kia A. Bull Education Support Center	36D	LMC	\$50,138.16
			6705977	Kia A. Bull Education Support Center	35D	LMC	\$34,031.09
			6711082	Kia A. Bull Education Support Center	35D	LMC	\$7,476.09
			6715080	Kia A. Bull Education Support Center	35D	LMC	\$6,133.82
			6723653	Kia A. Bull Education Support Center	36D	LMC	\$5,580.92
			6735363	Kia A. Bull Education Support Center	35D	LMC	\$6,537.88
			6751380	Kia A. Bull Education Support Center	35D	LMC	\$7,018.92
			6763741	Kia A. Bull Education Support Center	35D	LMC	\$6,780.82
			6775743	Kia A. Bull Education Support Center	35D	LMC	\$5,353.82
			6783114	Kia A. Bull Education Support Center	35D	LMC	\$4,032.77
<b>Total</b>							<b>\$2,216,820.86</b>



**LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19**

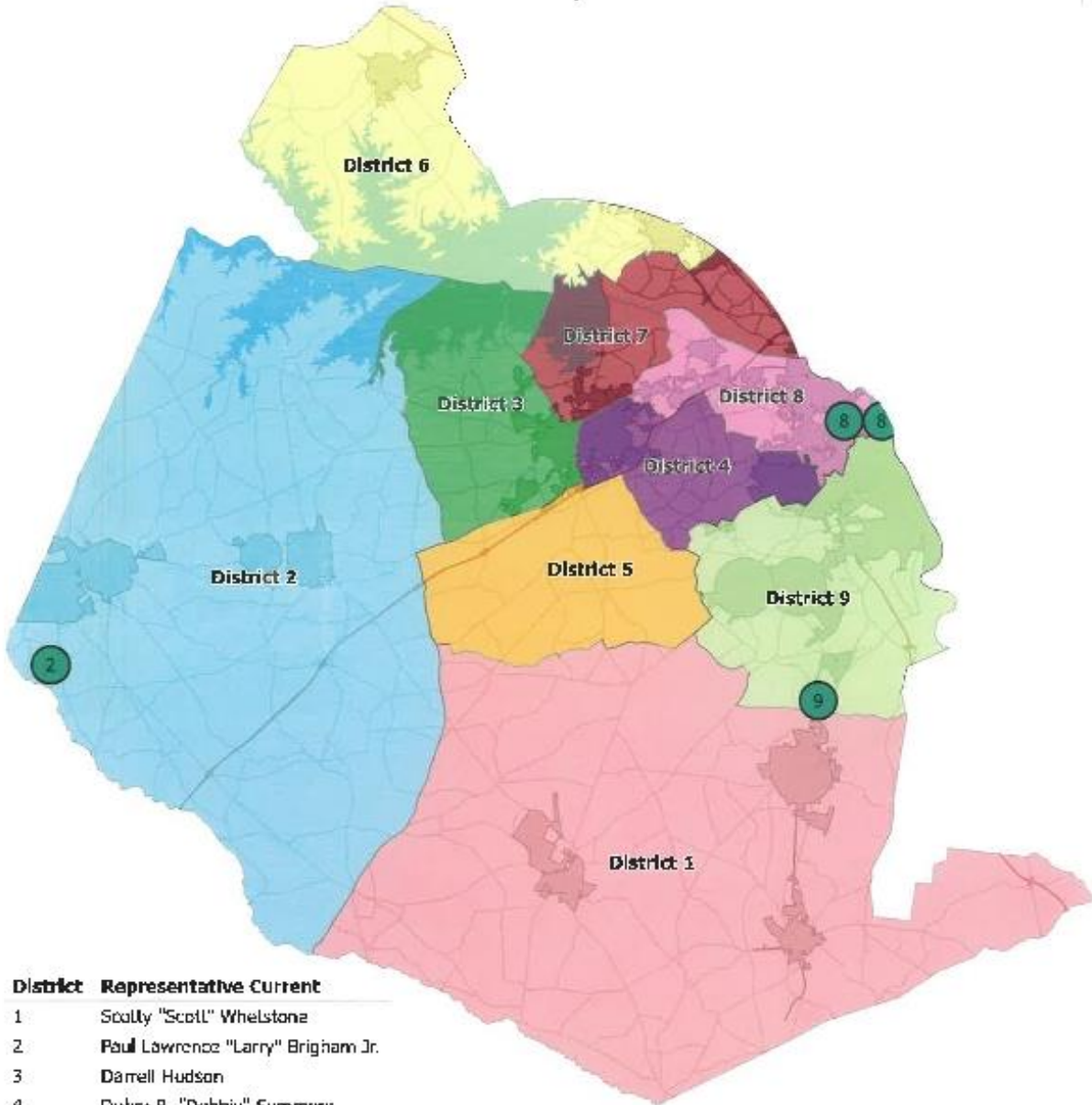
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# Lexington County

## Infrastructure Projects FY 22-23

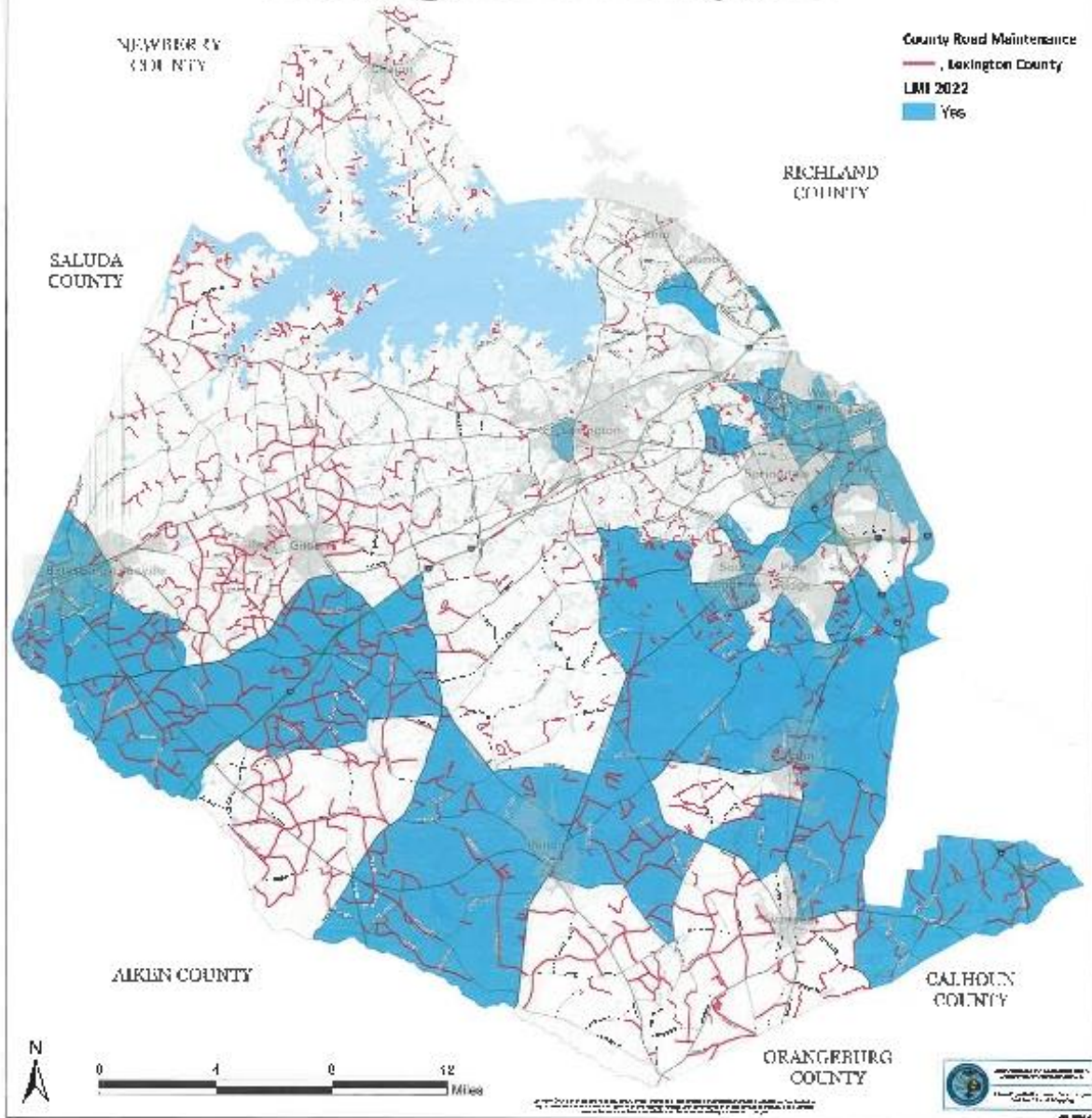


District	Representative Current
1	Scolly "Scoll" Whelstone
2	Paul Lawrence "Larry" Brigham Jr.
3	Darrell Hudson
4	Debra B. "Debbie" Summers
5	Gene "Bimbo" Jones
6	Charlene "Charli" Wessinger
7	Beth A. Carrigy
8	Glen M. Corwell
9	M. Todd Cullum

District ID	Description
2	Town of Batesburg-Leesville Chemical Feed
8	City of West Columbia Mill Village Water System
8	BLEC Gym Renovations
9	LCI S Pumper Truck Station 12



# Lexington County, SC





# APPENDIX A CITIZEN PARTICIPATION PLAN

Lexington County  
Community Development Department  
212 South Lake Drive, Suite 401  
Lexington, South Carolina 29072



## APPENDIX A – CITIZEN PARTICIPATION PLAN

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### Introduction

Lexington County receives funds annually from the United States Department of Housing and Urban Development (HUD) to implement the Community Development Block Grant (CDBG) program. The primary goals of the CDBG program are to provide decent housing, a suitable living environment, and expand economic opportunities particularly for low- and moderate-income persons.

To receive CDBG funds, the County is required to develop and implement a Citizen Participation Plan (CPP). The purpose of the CPP is to encourage citizen participation in the development, implementation and evaluation of the specific activities described within the Consolidated Plan and funded through the CDBG program.

The Consolidated Plan is a comprehensive planning process that describes the County's housing market conditions, identifies overall needs for affordable housing and non-housing community development, and provides strategies to address those needs. The Consolidated Plan also serves as the application for Federal funding under the Community Development Block Grant (CDBG) program.

The Citizen Participation Plan sets forth the policies and procedures for citizen involvement in the following components of the Consolidated Plan:

- Five-Year Consolidated Plan
- Annual One-Year Action Plan
- Substantial Amendments
- Consolidated Annual Performance Report (CAPER)

### Definitions

The following terms are used in the Citizen Participation Plan and can be defined as follows:

#### ***Consolidated Plan***

This is the collective term for the Five-Year Consolidated Plan, Annual One-Year Action Plan, and the Consolidated Annual Performance Evaluation Report (CAPER).

#### ***Five-Year Consolidated Plan***

This document is prepared once every five years and serves as the County's housing and community development plan. HUD requires the Plan from the County to receive CDBG funds.

The Plan is prepared in accordance with the process prescribed in 24 CFR Part 91 and describes the County's housing and community development needs, resources, and priorities and proposed activities to be undertaken over a five-year period.

**Annual One-Year Action Plan**

This document is prepared annually and is an update to the Five-Year Consolidated Plan. This Plan describes the needs, resources, priorities and proposed activities to be undertaken over a one-year period.

**CDBG (Community Development Block Grant Program):** A U.S. Department of Housing and Urban Development (HUD) grant which provides for a variety of community development programs that benefit low and moderate income persons.

**Consolidated Annual Performance Evaluation Report (CAPER)**

This document is prepared annually and describes the efforts and progress made in implementing the Five-Year Consolidated Plan and One-Year Action Plan for the preceding Program Year.

**Program Year**

The year in which CDBG, HOME and ESG activities are carried out. The program year begins July 1<sup>st</sup> and ends June 30<sup>th</sup>.

**Substantial Amendment**

HUD requires grantees to submit an amendment to their Annual Action Plan when there is a substantial change in the allocation priorities or methods of distribution to projects funded with CDBG. A substantial amendment is defined as one of the following:

1. Project deletions or changes made in allocation priorities or methods of distribution that have the effect of changing the funding level of individual CDBG projects identified in the Annual Action Plan by more than 30% of an entitlement jurisdiction's annual funding level;
2. Any new eligible activity funded with CDBG not already identified in the Annual Action Plan;
3. Significant changes in the use of CDBG funds from one eligible activity to another, in an amount greater than 30% of the annual CDBG allocation.

Substantial amendments will be made available for public comment at the same locations previously described for the Consolidated Plan/Annual Action Plan. Resident comments (verbal and written) received during the citizen participation process will be summarized in writing and included in an attachment to any amendments submitted to HUD.

## Citizen Participation Plan Adoption / Amendments

The County will make the CPP available to the public and provide citizens with reasonable notice and opportunity to comment on the adoption of, and amendments to, the CPP. Upon request, the CPP will be provided in a format accessible to persons with disabilities. Prior to adopting or amending the CPP, the County will publish a notice in The Chronicle Newspaper and in the Lexington County's Administration Building (a public facility) establishing a minimum of 15 days for the public to comment.

## Development of the Consolidated Plan

In developing the Consolidated Plan, the County will utilize information obtained through citizen participation at public hearings, written comments received, electronic and hard copy surveys (provided in English and Spanish), and consultation with:

- Public and private agencies that provide:
  - Assisted Housing
  - Health Services
  - Social Services For:
    - ∞ Children
    - ∞ Elderly
    - ∞ Persons with Disabilities
    - ∞ Persons with HIV/AIDS and Their Families
    - ∞ Homeless Persons
- Local Health and Child Welfare Agencies
- (Lead-Based Paint Hazards and Poisoning);
- Adjacent Jurisdictions (Non-housing Community Development Needs)
- State of South Carolina (Non-housing Community Development Needs)
- Area Planning Agencies (Central Midlands Council of Governments)

## Amendments to the Consolidated Plan

HUD requires the County to amend its approved Five-Year Consolidated Plan and/or One-Year Annual Action Plan whenever one of the following decisions is made:

- To make a change in allocation priorities or a change in the method of distribution of funds;
- To carry out an activity using funds from any program covered by the Consolidated Plan not previously described in the Annual Action Plan (including program income); or
- To change the purpose, scope, location or beneficiaries of an activity.

Prior to implementation, the County will classify an amendment as either substantial or non-substantial. The public will be notified of any amendments classified as substantial and will be provided the opportunity to comment as described in Section VI (Public Comment). An amendment will be considered substantial if it meets the following criteria:

- The amendment will result in a change in the use of CDBG funds from one eligible activity to another when the activity funds are greater than 30% of the Fiscal Year's CDBG entitlement grant award.

Following the implementation of any amendment, the County will notify HUD that an amendment has been made by submitting a copy of the amendment along with any supporting documentation.

## Public Comment

Citizens are encouraged to participate and provide comments on the Five-Year Consolidated Plan, One-Year Annual Action Plan, substantial amendments, and the CAPER. Prior to submission to HUD, the County will place a notice in The Chronicle Newspaper informing citizens of the availability of the document and the time period allowed for submitting comments before the documents are finalized and submitted to HUD. Any public comments received during the comment period shall be considered, summarized and attached to the documents prior to submission to HUD. The numbers of days allowed for public comment on each document are as follows:

- Five-Year Consolidated Plan – 30 days
- One-Year Annual Action Plan – 30 days
- Substantial Amendment(s) – 30 days
- CAPER – 15 days

## Public Hearings

The County will hold a minimum of two (2) public hearings each program year to obtain citizens views and respond to proposals and questions. The purposes of the hearings are to allow the public the opportunity to comment on:

- Program Performance
- Housing and Community Development Needs
- Development of Proposed Activities



Public hearings will be held at times and locations convenient to potential or actual beneficiaries, and with accommodation for persons with disabilities. The hearings will generally be held at the Lexington County Administration Building. Also, throughout the comment period surveys and comment cards will be left at various public facilities within Lexington County for community input. Accommodations for persons with visual, hearing or other impairments will be made upon request and reasonable notice. If a significant number of non-English speaking persons can be reasonably expected to attend the hearing, the County will make translation services available. At a minimum, hearings will be conducted during normal County business hours. When practical, hearings may be conducted after normal business hours.

The first public hearing is held during the development of the Consolidated Annual Performance Report (CAPER) and prior to making the Annual One-Year Action Plan or Five-Year Consolidated Plan available for public comment. The purpose of this hearing is to:

- Discuss the County's annual performance in achieving its goals and objectives for the preceding program year, and
- Obtain views from citizens on housing and community development needs, including priority non-housing community development needs, for the upcoming program year.

Input from this hearing is utilized in finalizing the CAPER and developing the Annual One-Year Action Plan or Five-Year Consolidated Plan.

The second public hearing is held during the development of the Annual One-Year Action Plan or Five-Year Consolidated Plan. This hearing is held primarily to discuss and obtain views from citizens regarding the draft plan including input on proposed activities, and funding amounts.

- Public Hearing on CAPER ..... August – September
- Public Hearing on proposed Annual Action Plan ..... April - May

Notice of the public hearings will be published in The Chronicle and in the Lexington County's Administration Building (a public facility) at least 7 days before the scheduled hearing date. Notices will include the date, time, location, and purpose of the hearing, and the name and phone number of the County contact person for questions and clarification.

In the event that national or local health officials recommend social distancing and/or limited public gatherings for public health reasons, virtual or web-based hearings will be used in lieu of in person hearings. If this format is used, real-time responses, timely responses from local officials to all citizen questions and issues, public access to all questions and response and accommodations for persons with disabilities or limited English proficiency will be made to the greatest extent possible.

The hearings generally address housing, social, and community development needs, proposed activities and the review of program performance. On the day of the public hearing, comments may be submitted in writing or made orally during the hearing.

## Meetings

In the event local meetings are held in addition to public hearings in the development of the Consolidated Plan, the County will provide citizens with reasonable and timely access. Notice of such meetings will be accomplished by direct invitation, media releases, or similar means to ensure that interested persons have an opportunity to participate. The County will determine the specific form and timing of notification based on the purpose of the meeting.

## Availability to Public

Copies of the CPP, Consolidated Plan, substantial amendments and CAPER will be available to the public for review during normal County business hours at the Department of Community and Economic Development, Lexington County Administration Building. All documents will be made available in a form accessible to persons with disabilities upon request and with reasonable notice.

## Disasters, Emergency Provisions

In the event of a declared disaster or emergency, it may be necessary to expedite the adoption of the Annual Action Plan and/or substantial amendments to the Consolidated Plan. These amendments may include funding new activities and or the reprogramming of funds, including canceling activities to meet needs resulting from a declared disaster or emergency. Therefore, the County may utilize CDBG and ESG funds to meet these needs with a 5 day public comment period instead of a 30 day public comment period (which is otherwise required). With respect to a declared disaster or emergency event, the County may elect to use CDBG and/or ESG funds to address needs not provided for by the Federal Emergency Management Agency (FEMA) and the Small Business Administration or other disaster relief efforts. Funding for disaster relief may not duplicate other efforts undertaken by federal or local sources unless allowed by the federal government. Potential eligible uses of funds are those that are included in this Citizen Participation Plan, the Consolidated Plan or any other CDBG eligible use. HUD may provide new guidance on eligible uses in which the County will comply with and may utilize as well.

In response to the COVID-19 Pandemic, or any declared disaster or emergency event, Lexington County is amending its Citizen Participation Plan, to promote and support "social distancing" while continuing to offer the public an opportunity to provide valuable citizen input and insight into the policies, and procedures of the Department of Housing, particularly as it relates to the administration and implementation of its federal programs. The following process will be used to solicit citizen participation and input into any programmatic amendments necessary to quickly and

effectively administer federal funding made available either directly or indirectly as a result of the COVID-19 Pandemic.

- When soliciting input for the County's Consolidated Plan, Annual Action Plan, substantial amendments or Annual Performance Report, AFH or substantial AFH revision a short summary description of each will be provided in each public notice for informational purposes and will be posted online on the County's website <https://lex-co.sc.gov/>. Staff will prepare an amendment to its Consolidated Plan and/or Annual Action Plan, where applicable, and the amendment shall include a discussion of the amount of assistance the County expects to receive, the range of activities it will undertake with this assistance, and, to the extent possible, the benefit to low and moderate income people of these proposed activities.
- The Amendment will be posted in a prominent place on the County's website and in the County Administration building (a public facility), along with a notice identifying the availability of the amendment. The Notice will include the opportunity to provide electronic comment for a period of not less than five days. As part of the Notice, the County will provide information on how citizens who have special needs may obtain the Amendment in a form which is accessible to them.
- Any comments received during the public comment period will be considered by the County before the final amended action plan is submitted to HUD. A summary of the comments and the agency's responses will be an attachment to the final amended action plan.
- The procedures and actions discussed above will constitute the County's citizen participation plan for any substantial amendments, which may need to be made in the course of the program year.
- This amended citizen participation plan will itself be made available to the public for a five day public comment period prior to implementation, and any interest groups may review and comment accordingly.
- Public comment will be received solely via the email [cdcustomerservice@lex-co.com](mailto:cdcustomerservice@lex-co.com)
- Public hearings may be held virtually, to be consistent with national/local health authorities recommending social distancing and limiting public gatherings for public health reasons, and there will be a process in place detailing how citizens can virtually participate in the public hearings.
- The public comment period will be shortened to 5 days

## Access to Records

The County will provide citizens, public agencies and other interested parties with reasonable and timely access to information and records relating to the County's Consolidated Plan and the County's use of CDBG assistance. Specific requests for such information must be submitted in writing to:

Robbie Derrick, Director  
Lexington County  
Community Development Department  
212 South Lake Drive  
Lexington, South Carolina 29072

## Technical Assistance

The County will provide technical assistance to group representatives of persons of low- and moderate-income that request such assistance in developing proposals for funding assistance under the County's CDBG program. The level and type of assistance provided will be determined by the County on a case-by-case basis depending on the needs and capacity of the groups requesting assistance. The technical assistance provided will not include the provision of funds to the groups.

## Displacement

The County will make every effort to minimize displacement of persons affected by any CDBG activities. It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (43 U.S.C. 4601), and implementing regulations at 49 CFR part 135.

## Complaints

The County will provide, at a minimum, a timely, substantive, written response to all written citizen complaints related to the Consolidated Plan, amendments, and CAPER. The response will be provided within 15 working days, when practical, after receipt of the complaint. All complaints must be submitted in writing to the following address:

Robbie Derrick, Director  
Lexington County  
Community Development Department  
212 South Lake Drive  
Lexington, South Carolina 29072

**Appendix A: Citizen Participation Plan - Revision History**

<b>REVISION HISTORY</b>		
<b>Issuc No.</b>	<b>Changed By</b>	<b>Approved By Date + Description of Change</b>
1	Sandy Fox	April 2, 2020 – Include virtual or web based hearings in lieu of in-person hearings
2	Sandy Fox	August 14, 2020 – Updated Citizen Participation Plan to include CARES Act. Updated to include CDBG-CV and ESG-CV project award and project activities – Approved by Cindi Henrigan
<b>Special Comments:</b>		



**HOME INVESTMENT PARTNERSHIPS  
POLICIES AND PROCEDURES MANUAL**



County of Lexington, Community Development  
212 S. Lake Drive, Suite 401  
Lexington, South Carolina

COUNTY OF LEXINGTON  
COMMUNITY DEVELOPMENT DEPARTMENT  
GRANTS DIVISION

**Community Development Block Grant Staff**

Robbie Derrick, Community Development Director

Sandy Fox, Title VI, Grants Manager

Sara Byrd, Grants Administrator

Anita Ballington, Financial Coordinator

Katharine Cason, Housing Program Coordinator

Sydney Thomas, Grants Assistant

**Physical Address:**  
County of Lexington  
Administration Building  
212 South Lake Drive, Suite 401  
Lexington, SC 29072

**Office Hours:** 8:00 AM - 5:00 PM  
**Phone:** (803) 785-8121  
**Fax:** (803) 785-8188  
**Website:** <http://www.lex-co.sc.gov/departments/communitydevelopment/>



TABLE OF CONTENTS

INTRODUCTION	4
GLOSSARY OF HOME TERMS	6
GENERAL PROGRAM RULES	10
SUBSIDY LIMITS	12
UNDERWRITING AND SUBSIDY LAYERING	12
TYPE AND CONDITION OF PROPERTY	12
THE APPLICANT/BENEFICIARY	12
COMMUNITY HOUSING DEVELOPMENT ORGANIZATION (CHDO)	14
RENTAL HOUSING ACTIVITIES	15
HOUSING REHABILITATION PROGRAM	20
COMPREHENSIVE HOUSING REHABILITATION PROGRAM (CHR)	20
PROPERTY REHABILITATION STANDARDS	21
ELIGIBILITY REQUIREMENTS	21
INCOME REQUIREMENTS	22
ELIGIBLE REPAIRS	25
INELIGIBLE REPAIRS	25
AFFORDABILITY PERIOD AND POST REPAIRS OCCUPANCY REQUIREMENTS	26
COMPREHENSIVE HOUSING REHABILITATION IMPLEMENTATION	26
HOMEOWNERSHIP ASSISTANCE PROGRAM	30
GRANTS PROGRAM ADVISORY COMMITTEE	31
FEDERAL REQUIREMENTS	33

Revised May, 2023

Page 3

**OVERVIEW OF THE HOME INVESTMENT PARTNERSHIPS PROGRAM**

**Introduction**

The HOME Investment Partnerships Program, created under Title 11 (the HOME Investment Partnerships Act) of the Cranston-Gonzales National Affordable Housing Act of 1990, represented a historic affirmation of the Federal Government's commitment to providing decent, safe, and affordable housing for low-to-moderate low income families and to alleviate the problems of excessive rent burdens, homelessness, and deteriorating housing stock nationwide. The following objectives are the intent of the HOME program:

- To provide decent affordable housing to lower-income households.
- To expand the capacity of non-profit housing providers.
- To strengthen the ability of state and local governments to provide housing.
- To leverage private sector participation.

The HOME program was designed to reinforce several important values and principles of community development:

- The flexibility of allowing people and communities to design and implement strategies tailored to their own needs and priorities.
- HOME's emphasis on consolidated planning expands and strengthens partnerships among all levels of government and the private sector in the development of affordable housing.
- HOME's technical assistance activities and set-aside for qualified community-based nonprofit housing groups builds the capacity of these partners.
- HOME's requirement that participating jurisdictions match 25 cents of every dollar in program funds mobilizes community resources in support of affordable housing.

HOME funds may be used for a variety of projects and programs that will increase and/or enhance affordable housing:

- Owner-occupied Housing rehabilitation,
- Homeownership Assistance,
- Acquisition, construction and/or rehabilitation of affordable housing for rental housing
- Community Housing Development Organizations (CHDO's)
- Administration and Planning

Non-profit owners/developers/subrecipients and CHDOs and for-profit owners/developers/subrecipients may apply for HOME funding.

**Distribution of Funding 2 CFR 200.203**

Applications for County of Lexington HOME funds are solicited once a year. The HOME Investment Partnerships Program has an "open door" policy which allows CHDO's, Subrecipients, Owners,

Revised May, 2023

Page 4

Developers and Sponsors to submit an application for funding throughout the year. Before committing funds to a project, the County of Lexington will underwrite the project, assess the capacity and fiscal soundness of the developer and assess the neighborhood market for demand. HOME funds may be used in combination with CHDO funds to provide the most affordable housing.

The competitive selection criteria for projects will be published at the time applications are solicited.

**Planning and Administration Costs, CHDOs (§92.207, 92.208, 92.306, 2 CFR Part 200)**

- PJ may use up to 10% of its annual allocation for eligible and reasonable planning and administrative costs.
- PJ may allocate up to 5% of its annual allocation for CHDO operating expenses
- PJ must reserve a minimum of 15% of its annual allocation for qualified CHDOs

**HOME-Funded Activities (§92.209, 92.252, 92.254)**

- Homeowner rehabilitation
- Homebuyer activities
- Rental housing

**Commitment and Expenditure of Funds**

PJs have 24 months to enter into legally binding HOME written agreements with developers, owners, contractors, subrecipients or CHDOs to use a specific amount of funds.

**Major PJ Obligations**

- Matching Requirements (§92.218-92.222)
- Minimum & Maximum Subsidy Limit, Underwriting, and Subsidy Layering (§92.250)
- Policies & Procedures, Monitoring, Recordkeeping, and Reporting (§92.504, 92.508 and 92.509)

**GLOSSARY OF HOME TERMS**

1. **Action Plan:** The one-year portion of the Consolidated Plan. It includes the PJ's annual application for HOME funds.

2. **Adjusted Income:** Adjusted income is annual (gross) income reduced by deductions for dependents, elderly households, medical expenses, handicap assistance expenses and childcare. Adjusted income is used in HOME to compute the actual tenant payment in TBRA programs and the low HOME rent in rental projects in which rents are based on 30% of a family's adjusted gross income.

3. **Affordability:** The requirements of the HOME Program that relate to the cost of housing both at initial occupancy and over established timeframes, as prescribed in the HOME Fiscal Rule. Affordability requirements vary depending upon the nature of the HOME assisted activity (i.e., homeownership or rental housing).

4. **Annual Income:** Lexington County HOME Program uses the definition of Annual income as defined in 24 CFR 5 referred to as "Part 5 Annual Income". The Part 5 definition of annual income is the gross amount of income of all adult household members that is anticipated to be received during the coming 12-month period.

5. **Commitment:** A "commitment" under the HOME program is required for the purposes of meeting the 24-month commitment deadline. "Commitments" are legally binding HOME written agreements and must comply with all of the requirements of the definition in the regulation and all additional prerequisite requirements. A "Commitment" means one of three things: (1) The PJ has executed a legally binding written agreement with a State recipient, a subrecipient, or a contractor to use a specific amount of HOME funds to administer a portion of a PJ's HOME programs to produce affordable housing, provide down payment assistance, or provide tenant based rental assistance; or has executed a written agreement with a CHDO to use HOME set-aside funds for a specific CHDO homebuyer or rental housing development project; or (3) the PJ has executed a legally binding agreement committing funds to a specific local project (which includes CHDO's and also as defined below).

6. **Commitment to a specific local project:** Commitment to a specific local project means that a legally binding agreement was executed committing funds to an identifiable project. Specific requirements are based on the type of activity being funded.

(a) For rehabilitation or new construction projects, the PJ (or other entity) and the project owner will execute an agreement for an identifiable project under which construction or rehabilitation which can reasonably be expected to start within 12 months of the agreement date.

(b) If the project consists of acquisition of standard housing by the PJ, the agreement must be a binding contract for the sale of an identifiable property and the property title must be transferred to the PJ (or other entity) within six months of the date of the contract.

(c) If the project involves the acquisition of standard housing and the PJ is providing HOME funds to a purchaser, under the agreement, the title of the property must be transferred to the purchaser within six months of the agreement date.

(d) If the project consists of TBRA, the PJ must enter into a rental assistance contract with the owner or the tenant in accordance with the provisions of 24 CFR Part 92.209.

(e) All necessary financing must be secured, with a budget and schedule of construction and/or rehabilitation has been established and the PJ has completed the underwriting for the specific project. Note that preliminary or conditional "commitments" may be made, but no funds are considered committed under the rules unless the above conditions have been met.

**Consolidated Plan:** A plan prepared in accordance with the requirements set forth in 24 CFR Part 91 which describes community needs, resources, priorities and proposed activities to be undertaken under certain HUD programs, including HOME.

**Consortium:** Geographically contiguous units of general local government consolidated to be in a single unit of general local government for HOME Program purposes when certain requirements are met.

**Community Housing Development Organization (CHDO):** A private, nonprofit organization that meets a series of qualifications prescribed in the HOME regulations at 24 CFR Part 92.2. A participating jurisdiction must award at least 15 percent of its annual HOME allocation to CHDOs.

**Draw-Down:** The process of requesting and receiving HOME funds. PJs and authorized state recipients draw down funds from a line of credit established by HUD.

**Final Rule:** The Final HOME Rule was published at 24 CFR Part 92 on September 16, 1996 and became effective on October 16, 1996. It was amended on August 22, 1997.

**Group Home:** Housing occupied by two or more single persons or families consisting of common space and/or facilities for group use by the occupants of the units and (except in the case of shared one-bedroom units) separate private space for each family.

**HOME-Assisted Units:** A term that refers to the units within a HOME project for which rent, occupancy and/or resale restrictions apply. The number of units designated as HOME-assisted affects the maximum HOME subsidies that may be provided to a project.

**HOME Funds:** All appropriations for the HOME Program, plus all repayments and interest or other returns on the investment of those funds.

**HOME Investment Trust Fund:** The term given to the two accounts - one at the Federal level and one at the local level - that "hold" the PJ's HOME funds. The Federal HOME Investment Trust Account is the U.S. Treasury account for each participating jurisdiction. The local HOME Investment Trust Fund account includes repayments of HOME funds, matching contributions and payment of interest or other returns on investment.

**Household:** One or more persons occupying a housing unit.

**Insular Areas:** Guam, the Northern Mariana Islands, the United States Virgin Islands and American Samoa.

**Jurisdiction:** A state or unit of general local government.

Revised May, 2023

Page 7

**Low Income Families:** Families whose annual incomes do not exceed 80 percent of the median income for the area (adjusted for family size).

**Match:** Match is the PJ's contribution to the HOME Program -- the local, non-Federal contribution to the partnership. The PJ's match contribution must equal not less than 25 percent of the HOME funds drawn down for projects in that fiscal year.

**New Construction:** The creation of new dwelling units. Any project which includes the creation of new or additional dwelling units in an existing structure is considered new construction.

**Participating Jurisdiction (PJ):** The term given to any state, local government or consortium that has been designated by HUD to administer a HOME Program. HUD designation as a PJ occurs if a state or local government meets the funding thresholds, notifies HUD that they intend to participate in the program and has a HUD-approved Consolidated Plan.

**Program Income:** Gross income received by the PJ, state recipient, or a subrecipient directly generated from the use of HOME funds or matching contributions.

**Project:** A site of an entire building, or two or more buildings, together with the site or sites on which the building or buildings is located, that are under common ownership, management and financing and are to be assisted with HOME funds, under a commitment by the owner, as a single undertaking. The HOME Final Rule eliminated the requirement that all buildings fall within a four block radius.

**Project completion:** All necessary title transfer requirements and construction work have been performed; the project complies with all HOME requirements; the final draw-down has been disbursed for the project; and the project completion information has been entered in the disbursement and information system established by HUD. For TBRA, project completion means the final draw-down has been disbursed for the project.

**Reconstruction (also rehabilitation):** The rebuilding, on the same lot, of housing standing on a site at the time of project commitment. The number of housing units on the lot may not be changed as part of the reconstruction project, but the number of rooms per unit may change. Reconstruction also includes replacing an existing substandard unit of manufactured housing with a new or standard unit of manufactured housing.

**Single-Room Occupancy (SRO):** Housing consisting of single-room dwelling units that is the primary residence of its occupant or occupants. The unit must contain food preparation and/or sanitary facilities. If the project involves new construction, conversion of non-residential space, or reconstruction, if the units do not contain sanitary facilities, the building must contain sanitary facilities shared by the tenants.

**State Recipient:** State PJs can award their HOME funds to units of local government to run HOME locally. Any unit of local government designated by a state to receive HOME funds is called a "state recipient." The state is responsible for ensuring that HOME funds allocated to state recipients are used in accordance with the HOME regulations and other applicable laws.

Revised May, 2023

Page 8

**Subrecipients:** A public agency or nonprofit organization selected by a PI to administer all or a portion of the PI's HOME Program. A public agency or nonprofit organization that receives HOME funds solely as a developer or owner of housing is not a subrecipient.

**Targeting:** Requirements of the HOME Program relating to the income or other characteristics of households that may occupy HOME-assisted units.

**Tenant-Based Rental Assistance (TBRA):** A form of direct rental assistance in which the recipient tenant may move from a dwelling unit with a right to continued assistance. Includes security and utility deposits associated with the rental of dwelling units.

**Very-Low-Income Families:** Families whose annual incomes do not exceed 50 percent of the median income for the area (adjusted for family size).

**Uniform Physical Condition Standards (UPCS):** Uniform National Standards established by HUD Pursuant to 24 CFR 5.703 for housing that is decent, safe, sanitary, and in good repair. Standards are established for inspectable items for each of the following areas: site, building exterior, building systems, dwelling units, and common areas.

#### GENERAL PROGRAM RULES

##### Eligible Forms of Subsidy (§92.205)

The HOME program allows almost any form of financial assistance, or subsidy to be provided to eligible beneficiaries and eligible projects. The PI determines the form of assistance it will provide. HOME regulation allows the following forms of subsidy as eligible:

- Interest-bearing loans or advances
- Non-interest-bearing loans or advances
- Deferred forgivable or repayable loans
- Grants
- Interest subsidies
- Equity investments
- Loan guarantees
- Other forms approved by HUD

##### Eligible Project Costs (§92.206)

HOME funds may be used to pay the following eligible costs:

**Development Hard Costs:** actual costs of constructing or rehabilitating housing (All HOME-assisted housing must meet Property Standards as stated in §92.251)

- New Construction
- Rehabilitation
- Reconstruction
- Conversion
- Site Improvements
- Acquisition of Property
- Acquisition of Vacant Land
- Demolition
- Relocation costs
- Refinancing
- Initial Operating Reserve

**Eligible Administrative and Planning Costs** (2 CFR Part 200, §92.207) – a PI may use up to 10% of its annual HOME grant plus up to 10% of program income received during the program year for eligible and “reasonable” Administrative and Planning Costs

Typical program administration costs are but not limited to:

- General management
- Staff and overhead costs to administer HOME program
- Budget and scheduling
- Development of written agreements
- Report preparation
- Office expenses
- Official travel
- Legal, audit and accounting services
- Fair Housing activities

- Indirect costs charged under an approved cost allocation plan
- Costs of complying with other Federal Requirements
- **Project Related Soft Costs** - other reasonable and necessary costs associated the financing or development (or both) of new construction, rehabilitation and acquisition of housing assisted with HOME funds.
- **Pre-Award Costs** - PIs are allowed to incur costs which have been budgeted to its new program year prior to the date that HUD signs the new Agreement but only after the PI has received its HOME allocation for the new year.

**Prohibited Activities and Fees (§92.214)**

HOME funds shall not be used to:

- **Acquisition of vacant land or demolition only**
- Provide project reserve accounts, except as provided in § 92.206(d)(5), to provide initial operating deficit reserves;
- Development, operations, or modernization of public housing
- Double-dipping (a PI may not commit additional funds to a project after the first year of project completion)
- Provide non-federal matching contributions required under any other Federal program;
- Provide assistance authorized under section 9 of the 1937 Act (Public Housing Capital and Operating Funds);
- Provide assistance to eligible low income housing under 24 CFR part 248 (Prepayment of Low Income Housing Mortgage), except that assistance may be provided to priority purchasers as defined in 24 CFR 248.101;
- Provide assistance (other than tenant based rental assistance or assistance to a homebuyer to acquire housing previously assisted with HOME funds) to a project previously assisted with HOME funds during the period of affordability established by the participating jurisdiction in the written agreement under §92.504. However, additional HOME funds may be committed to a project up to one year after project completion (see § 92.502), but the amount of HOME funds in the project may not exceed the maximum per-unit subsidy amount established under § 92.250.
- Pay for the acquisition of property owned by the County of Lexington, except for property acquired by the participating jurisdiction with HOME funds, or property acquired in satisfaction of carrying out a HOME project, or pay delinquent taxes, fees or charges on properties to be assisted with HOME funds.
- Pay for delinquent taxes, fees, or charges on properties to be assisted with HOME funds
- Fees charged by PIs, State Recipients, and Subrecipients
- Fees charged by rental property owners
- Pay for any cost that is not eligible under §§ 92.206 through 92.209.

**SUBSIDY LIMITS (§92.205 AND 92.250)**

**Minimum amount of assistance.** The minimum amount of HOME assistance that may be invested per unit is \$1,000.00.

**Maximum HOME subsidy limit:** The maximum HOME subsidy per HOME-assisted unit that a PI can provide to a project can vary. The Basic Statutory Mortgage Limits are established under Section 231 - Condominium Housing Basic Mortgage Limits for Elevator Type. HUD determines and issues the maximum per-unit subsidy annually. PIs use the HUD published limits.

**UNDERWRITING AND SUBSIDY LAYERING (§92.250)**

The County of Lexington certifies in its Consolidated Plan that prior to committing HOME funds to a project it will conduct an underwriting and subsidy layering evaluation in accordance with §92.250-254.

**Exception:** Certain aspects of underwriting and subsidy layering requirements do not apply to the homebuyer downpayment assistance program or to the homebuyer rehabilitation program.

The PI's underwriting review will include the following:

- Review of Sources and Uses
- Market Assessment
- Assessment of Developer Capacity

**TYPE AND CONDITION OF PROPERTY**

The County of Lexington will abide by the HOME rules specification of the types of property that are eligible upon each specific program activity. The homeowner receiving housing rehabilitation and the homebuyer receiving assistance for down payment and/or closing costs will be in accordance with the HOME limits determined by HUD (§92.254).

**Property Standards (§92.251)**

All HOME funded projects will meet either State and local standards, International Residential Code standards, Housing Quality Standards and all funded project will meet the Lead Safe Housing Rule Standards.

**THE APPLICANT/BENEFICIARY (§92.252, 92.254 and 92.203)**

**Program Targeting (§92.252, 92.254)**

PI will use its HOME funds to assist households with annual gross incomes at or below 80 percent of the area median income.

At least 20% of HOME assisted rental units must be occupied by households with income at or below 50% of AMI throughout the affordability period.

**Applicant Income Eligibility (§92.203)**

Beneficiaries of HOME funds (homebuyers, homeowners or tenants) must be low-income or very low income. Their eligibility is based on their annual income and will be calculated by using the Part 5 definition of annual (gross) income.

For the rental program, annual recertifications of income are required.

**Long-Term Affordability:**

To ensure HOME investments provide affordable housing over the long term, rent and occupancy restrictions continue throughout the period of Affordability.

The minimum length of an affordability period depends on the amount of the HOME investment in the property and the nature of the activity:

HOME Activity	HOME Investment per Unit*	Length of the Affordability Period
Homebuyer and rental housing acquisition and/or rehabilitation	Less than \$15,000	8 Years
	\$15,000 – 40,000	10 Years
	More than \$40,000	16 Years
New construction of rental housing	Any \$ Amount	20 Years
Rehabbing of existing housing	Any \$ Amount	15 Years

\*For homebuyer programs where the PI uses a receipt sale protocol, the period of affordability is based on the amount of HOME assistance to the buyer, rather than the amount invested per unit.

Throughout the period of affordability, income eligible households must occupy the assisted units.

When designated rental units become vacant during the period of affordability, subsequent tenants must be income eligible and must be charged the applicable rent.

If a home purchased with HOME funds is sold during the period of affordability, the County will comply with the recapture provisions outlined in the HOME Program regulations at 24 CFR Part 92.254.

**Site and Neighborhood Standards:**

Proposed sites for potential HOME projects must meet the following site and neighborhood standards:

- Be adequate to accommodate the number and type of units proposed;
- Have sufficient utilities and streets to service the site;
- Be in full compliance with the applicable provisions of Title VI of the Civil Rights Act of 1964, Title VIII of the Civil Rights Act of 1968, F.D. 11063;
- Promote greater choice of housing opportunities;
- Avoid undue concentration of assisted persons in areas containing a high proportion of low-income persons.

- Be accessible to social, recreational, educational, commercial, and health facilities and services;
- Be accessible to municipal facilities and services that are at least equivalent to those typically found in neighborhoods consisting largely of unassisted, standard housing of similar market rents;
- Be located in places of employment providing a range of jobs for lower income workers;
- The neighborhood must not be one which is seriously detrimental to family life or other undesirable conditions or conditions, unless there is actively in progress a concerted program to remedy the undesirable conditions.

The site must not be located in an area of minority concentration, except as permitted below:

- Sufficient comparable opportunities exist for housing for minority families, in the income range to be served by the proposed project, outside areas of minority concentration; or
- The project is necessary to meet overriding housing needs that cannot be met in that housing market area.

**COMMUNITY HOUSING DEVELOPMENT ORGANIZATION (CHDO)**

A private, non-profit, tax exempt (IRS 501(c)(3) or (4)) community based organization with qualified staff that is receiving HOME funds as the owner, developer, or sponsor of affordable housing for the community it serves.

If a property is appointed (acquired, rehabilitated, etc.) by a CHDO with HOME funds is ultimately unable to provide affordable housing in accordance with HUD regulations, the project will be deemed ineligible and subject to the recapture of HOME funds.

**Community Housing Development Organization (CHDO) Costs:**

- A CHDO is defined as a private, nonprofit organization that meets a series of qualifications prescribed in the HOME regulations at 24 CFR Part 92.2.
- The HOME New Rule requires that CHDOs have paid staff with demonstrated capacity appropriate to the CHDO's role (this requirement cannot be met through volunteer, donated staff, shared staff, or board members).
- CHDOs must be organized under state and local law and the purpose of the organization must be evidenced in either their Charter, Articles of Incorporation, By-laws, or a resolution of the CHDO's board of directors.
- No part of the CHDO's profits may benefit any incumbent, founder, contributor or individual.
- The CHDO's board must be organized so: at least one-third be representatives of low-income community; no more than one-third may be elected or appoint public officials or employees of governmental entities; and the balance of board membership is unrestricted.
- A CHDO must have a least one year of experience serving the community where it intends to develop the HOME assisted housing.
- CHDO status must be recertified regularly by the County of Lexington.
- CHDOs rental units will be monitored on a yearly basis throughout the affordability period.
- In an owner or developer role, the CHDO must own the HOME-assisted housing in fee simple absolute or have a long term ground lease.
- Costs include Operating Expense and Capacity Building Costs for eligible CHDOs. Operating expenses are reasonable and necessary costs for the operation of the community housing development organization, (salaries, wages, utilities, materials) and if a PI cannot identify

- organizations with adequate capacity to become a CHDO, it may use the lesser of 20% of the CHDO set-aside or \$150,000 to develop the capacity of CHDO(s).
  - Costs **DO NOT** include operating expenses incurred by a CHDO acting as a subrecipient or contractor under the HOME Program.
  - Community Housing Development Organization Costs
    - CHDO Set-aside – FJs must set aside at least 15% of their total HOME allocation for use by CHDOs as owner, developer or sponsor of affordable housing (§92.300(a)(1))
    - CHDO set-aside activities – eligible activities, when carried out by a CHDO acting as an owner, sponsor, or developer may include: Acquisition and/or rehabilitation of rental housing, acquisition and management of standard rental housing, new construction of rental housing, acquisition and/or rehabilitation of homebuyer properties.
    - Ineligible set-aside activities for a CHDO (but may be carried out by a subrecipient) are tenant-based rental assistance (TBRA), homeowner rehabilitation, brokering or other real estate transactions.
- Special Assistance**
- A FJ has the option to use HOME funds to provide special assistance to CHDOs which include project specific predevelopment assistance, operating assistance, HOME project proceeds and capacity building assistance.
- CHDO Proceeds**
- HOME Final Rule at § 92.300(a)(2) gives FJs the option of permitting CHDOs to retain any proceeds resulting from the CHDO's investment of its CHDO set-aside funds or requiring the CHDO to return these proceeds to the participating jurisdiction.
  - The project proceeds must be used for HOME-eligible activities and other low-income housing activities.
- Program Income**
- Program Income is income generated from use of HOME funds by non-profit CHDOs. Generally, these funds are from payments of principal and interest on loans made with HOME funds for the purchase of rental properties for the CHDO's. (2 CFR 200.307)

**RENTAL HOUSING ACTIVITIES**

HOME funds may be used for acquisition, new construction or rehabilitation of affordable rental housing. The developers or owners of the rental properties must be CHDOs, non-profit housing providers or for-profit developers.

FJs may provide financial assistance to rental housing in numerous forms as discussed above. Eligible expenses for rental project are the same as other HOME activities.

As with all HOME assisted projects, the minimum investment for each project is \$1,000 per unit. In project with more than one HOME-assisted unit, the minimum is an average per-unit subsidy.

Before receiving HOME funding commitment, all rental projects will be evaluated in accordance with the underwriting and subsidy layering requirements. HOME rental projects may be one or more buildings on a single site or multiple sites.

Properties previously assisted with HOME funds may not receive additional HOME funds during the affordability period unless it is provided during the first year after project completion.

Rental properties, whether new construction or rehabilitation, must meet the applicable HOME property standards as stated in §92.251.

Within six months of completion, the units must be occupied by tenants. If they are not occupied, a marketing plan must be submitted to the FJ showing the steps to be taken to get the unit rented. If within 18 months of completion and the unit is not occupied by a tenant, the project will be considered ineligible and owner/developer/subrecipient or CHDO will have to sell the property and repay the County of Lexington the HOME funds invested in the project.

**HOME Rent Requirements**

HOME assisted units are subject to High and Low HOME rent limits that are published annually by HUD and include utilities. If the tenant pays for utilities, the owner must make adjustment in the tenants rent. All HOME rents are based on the Fair Market Rents and calculation of rents affordable to families earning 65% and 50% of AMI. All HOME rental units must be occupied by low-income households. The CHDO/Owner must submit to the FJ the project's rents each year.

The County of Lexington (9) requires that the CHDO/Owner/Developer use the Part 5 definition to determine annual (gross) income. Before a tenant occupies a unit, their eligibility must be documented with two (2) months of source documents, such as wage statements, interest statements, and unemployment compensation statements.

Due to the HOME Program occupancy restrictions, tenant's income must be recertified on an annual basis. Methods of income certification may be in the form of a written statement from the family indicating family size and annual income which includes a certification from the family that the information is complete and accurate and must indicate that documents will be provided upon request. Another option is a written statement from the administrator of another government program under which the family receives benefits that examines the annual (gross) income of the family each year using the Part 5 definition.

**Leases**

There must be a written lease between the CHDO/Owner/Developer and the tenant of the HOME-assisted rental unit. The lease must be for a minimum of one (1) year and the CHDO/Owner/Developer and the property managers must follow state and local tenant landlord law.

The lease between the owner and tenant in a HOME-assisted property **can not** contain any of the following:

- a. Agreement to be sued: Agreement by the tenant to be sued, admit guilt or to a judgment in favor of the owner in a lawsuit brought in connection with the lease.
- b. Treatment of Property: Agreement by the tenant that the owner may take, hold, or sell personal property of household members without notice to the tenant and a court decision on the rights of the parties. This prohibition however, does not apply to an agreement by the tenant concerning disposition of personal property remaining in the housing unit after the tenant has moved out of the unit. The owner may dispose of this personal property in accordance with State law.

- c. **Excluding the Owner from Responsibility:** Agreement by the tenant not to hold the owner or the owner's agents legally responsible for actions or failure to act, whether intentional or negligent.
- d. **Waiver of Notice:** Agreement by the tenant that the owner may institute a lawsuit without notice to the tenant.
- e. **Waiver of Legal Proceedings:** Agreement by the tenant that the owner may evict the tenant or household members without instituting a civil court proceeding to which the tenant would have the opportunity to present a defense.
- f. **Waiver of Legal Trial:** Agreement by the tenant to waive any right to a jury trial.
- g. **Waiver of Right to Appeal Court Decision:** Agreement by the tenant to waive their right to appeal or to otherwise challenge in court a decision in connection with the lease.
- h. **Tenant Chargeable with Cost of Legal Actions regardless of Outcome:** Agreement by the tenant to pay attorney fees or other legal costs even if the tenant wins the court proceeding by the owner against the tenant. The tenant however, may be obligated to pay costs if the tenant loses.
- i. **Requirement for tenants to participate in mandatory service.**

**Tenant Selection**

An owner of HOME-assisted rental housing must adopt and follow written tenant selection policies that:

- a. Limit the HOME-assisted housing to very low-income and low-income families;
- b. Applicant's ability to perform the obligations of the lease;
- c. Selection of tenants from a written waiting list in chronological order of their application as much as practicable;
- d. Give prompt written notification to any rejected applicant of the grounds for any rejection
- e. An applicant cannot be excluded who has a certificate or voucher under the Section 8 Tenant Based Assistance Housing Choice Voucher Program (24 CFR Part 982)
- f. Maintain a Unit Mix throughout the affordability period (62.252; 92.504)

**Monitoring and Inspections (2 CFR 200.320-331, 92.504)**

All projects are subject to HOME compliance monitoring requirements. At a minimum, the owners of projects are responsible for conducting the following annually:

- 1) Maximum rent and utility allowances must be reviewed and recalculated annually upon the anniversary of the lease renewal date.
- 2) The HOME Final Rule requires approval of all rents on an annual basis for developments with HOME units.
- 3) The annual income or annual gross income of tenants in HOME projects must be reviewed and verified each year.
- 4) Periodic on-site inspections must be conducted by the owners to ensure compliance with HOME rental program requirements.

HOME requires documentation for all rental projects to show that all program regulations have been met.

**SUMMARY OF OTHER FEDERAL REQUIREMENTS**

Other Federal Requirements	Applies to Rental Housing Programs?	Special Issues/ Considerations	Regulatory Citations and References
<b>Non-Discrimination and Equal Access Rules</b>			

Revised May, 2023

Page 17

Fair Housing and Equal Opportunity	Yes	PIs must affirmatively prohibit fair housing. Pay particular attention to signs of discrimination in leasing practices.	92.202 and 92.208 Title VI of Civil Rights Act of 1964; 42 U.S.C. 2000d et. seq.; Fair Housing Act (42 U.S.C. 3601-3608); Executive Order 11965 (amended by Executive Order 12286) - Age Discrimination Act of 1975, as amended (42 U.S.C. 6101); 24 CFR 5.106(a)
Affirmative Marketing	Yes, for projects receiving Fair or more HOME-assisted units.	PI must adopt specific marketing and requirements.	• 92.351
Handicapped Accessibility	Yes.		Section 504 of the Rehabilitation Act of 1973 (implemented at 24 CFR Part 8) - For multi-family buildings only; 24 CFR 100.205 (implements the Fair Housing Act).
<b>Employment and Contracting Rules</b>			
Equal Opportunity Employment	Yes.	Contracts and subcontracts over \$10,000 should include language prohibiting discrimination.	• Executive Order 11246 (implemented at: 41 CFR Part 60)
Section 8 Economic Opportunity	Yes, if amount of assistance exceeds \$20,000 OR contract or subcontract exceeds \$100,000.	Include Section 3 clause in contracts and subcontracts.	• Section 3 of the Housing and Urban Development Act of 1968 (implemented at 24 CFR Part 188)
Minority/Women Employment	Yes.	PI must prescribe procedures and include in contracts and subcontracts.	• Executive Orders 11626, 12432 and 12138 - 24 CFR 86.36(a)
Davis-Bacon	Yes, if construction contract includes 2 or more HOME-assisted units.	Include language in all contracts and subcontracts. Reg. comments apply to whole project, not just the HOME-assisted units.	• 92.354 - Davis-Bacon Act (40 U.S.C. 276a-276a-2); 24 CFR Part 92 (regulations) - Copeland Anti-Kickback Act (40 U.S.C. 276c)
Conflict of Interest	Yes.	PIs should ensure compliance both in House and when using subcontractors.	• 92.356 - 24 CFR 85.36 - 24 CFR 84.43

**SUMMARY OF OTHER FEDERAL REQUIREMENTS**

(Continued)

Other Federal Requirements	Applies to Rental Housing Programs?	Special Issues/ Considerations	Regulatory Citations and References
Debarred Contractors	Yes.	PIs should check HUD list of debarred contractors.	• 24 CFR Part 3
<b>Environmental Requirements</b>			
Environmental Reviews	Yes	Level of review depends on the activity, for rehab/alter and new construction (4 or more units), categorically excluded projects to 68.8. New Construction more than 9 units subject to environmental assessment.	• 92.352 - 24 CFR Part 68 - National Environmental Policy Act (NEPA) of 1969
Flood Insurance	Yes for PIs that are cities or counties. No for state programs.	Must obtain flood insurance if located in a FEMA designated 100-year flood plain. Community must be	• Section 202 of the Flood Disaster Protection Act of 1973 (42 U.S.C. 4106)

Revised May, 2023

Page 18



		participating in FEMA's lead insurance program.	
Site and Neighborhood Standards	Yes, for new construction only.		24 CFR 853.6(b)
Lead-Based Paint	Yes for rehabilitation of pre-1978 units. Applies to HOME-assisted units. Requirements differ depending on whether remediation work is performed.	Rehabilitation Notices to owners. Paint testing of surfaces to be disturbed. Risk assessment (if applicable, based on level of rehabilitation assistance). Appropriate level-ward reduction activity (based on level of rehabilitation assistance). Safe work practices and clearance. Provisions included in all contracts and subcontracts. Activities not involving residential Notices to purchasers and tenants. Visual assessment must be performed. Post-stabilization must be completed (if applicable). Safe work practices and clearance. Provisions included in all contracts and subcontracts.	• 62,355 • Lead-Based Paint Poisoning Prevention Act of 1991 (42 U.S.C. 4821 et. seq.) • 24 CFR Part 35 • 682.401(i) (except paragraph 682.401(i)(1))
Relocation	Yes.	Displacement must be minimized, existing tenants must be provided a reasonable opportunity to lease a dwelling unit in the building upon completion of the project. Reimbursement for temporary relocation, including moving costs and increase in monthly rent/leases, must be provided, as well as advisory services.	42,353 • Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (URA) 42 U.S.C. 4301-4306 • 49 CFR Part 24 • 24 CFR Part 42 (subpart B) • Section 104(d) "Barney Frank Amendments"

**Program Design and Implementation Issues**

Lexington County HOME Investment Partnerships Program offers HOME funds to potential developers of affordable rental housing by the Open Funding Window approach. The 17 best state minimum requirements and projects that meet these requirements are funded on a first come first served, subject to funding availability.

**HOUSING REHABILITATION PROGRAMS OVERVIEW**

The purpose of the Comprehensive Housing Rehabilitation (CHR) Program is to:

- Maintain Lexington County homes for the long term sustainability of our community.
- Provide financial housing rehabilitation assistance to the County of Lexington's low- and moderate-income residents.

The County of Lexington receives funds from the United States Department of Housing and Urban Development (HUD) on an annual basis to implement housing and community development activities in the County. Funding for the CHR Program is provided by the HOME Investment Partnerships (HOME) Program.

While all program grants are subject to the requirements of these guidelines additional federal provisions may apply. Program guidelines may be amended at any time by the County of Lexington Grant Programs Division to reflect changes in the Federal requirements of this program or other changes incidental to the operation of the programs.

**COMPREHENSIVE HOUSING REHABILITATION (CHR) PROGRAM**

The CHR Program generally provides up to \$30,000 in assistance for comprehensive housing rehabilitations. An amount exceeding \$30,000 may be approved at the discretion of the County Council with justification for the repair costs.

- Only single-family detached homes (this excludes manufactured homes) are eligible for assistance through the CHR Program.

**Deferred Forgivable Loan Provisions**

All income qualified low- and moderate-income homeowners (as defined by HUD) may receive assistance in the form of a deferred forgivable loan on a pro-rata basis not to exceed five (5) years. If the property is sold within the five (5) year loan period, the pro-rata balance will be due in full.

Properties assisted under the CHR Program are subject to the Federal requirements found in the HOME Final Rule (24 CFR Part 92, subpart H). The requirements of this subpart include nondiscrimination, equal opportunity, disclosure, debtment, drug-free workplaces, affirmative marketing, minority outreach, environmental reviews, relocation, lead-based paint, conflict of interest, Executive Order 12372, and consultant activities.

#### PROPERTY REHABILITATION STANDARDS

In compliance with HOME and other HUD requirements, the programs will follow the 2021 International Residential Code (IRC) as the minimum property standard. The property standard ensures that all houses rehabilitated with HUD funds adequately address and protect public health, safety, and welfare.

The property is subject to the Rehabilitation Inspector's recommendations and the Community Development Grant Programs Division's approval. It will be inspected to determine its suitability for rehabilitation based on feasibility and reasonableness. The unit may not be eligible for assistance if it is not structurally or financially feasible for rehabilitation. This assessment may be based on such factors as the presence of mold, lead, absence of a solid foundation or lack of a structural base capable of supporting rehabilitation construction (due to flooding, termite damage, inadequate original construction, etc.), thereby preventing the unit from being improved (feasibility test). The unit may not be assisted if cost of rehabilitation exceeds 75% of the after-rehabilitation value (reasonableness test). Final approval and acceptance will be determined by the Grant Programs Division.

Applicants and properties must meet all criteria outlined below to qualify for assistance through the MHR Program and CHR Program.

#### ELIGIBILITY REQUIREMENTS - APPLICANTS AND PROPERTIES

The Grant Programs Division will review and verify all applications to determine if repairs can be undertaken within the scope of the program. If a property does not qualify for assistance based on the inspection, the homeowner will be notified in writing. Factors to be evaluated include but are not limited to:

- Only completed applications will be reviewed for eligibility.
- The property must be located in Lexington County and its municipalities.
- The homeowner must have owned and occupied the property as their primary/legal residence for at least 18 months at the time of application. Their properties are eligible as long as the heirs are low-income, occupy the housing as his or her principal residence and pays all costs associated with ownership and maintenance of the housing. Life estate properties are not eligible for assistance.
- Applicants who have previously been denied acceptance into the County's Comprehensive Housing Rehabilitation program due to ineligible repairs and/or rehabilitation costs exceeding the parameters of the program must wait a period of five (5) years from the date of denial prior to re-applying to the Comprehensive Housing Rehabilitation program.
- The applicant must not be in arrears on mortgage payments nor be delinquent in real estate taxes, or in active bankruptcy on any property within Lexington County.
- If the applicant's marital status is separated, the applicant must provide a copy of the legal separation agreement.
- If the applicant is divorced, the applicant may be required to provide a copy of his or her divorce decree.
- Applicants must show proof of property hazard insurance including flood insurance when the

Revised May, 2023

Page 21

property lies within the one hundred year floodplain zone.

- o If insurance cannot be obtained due to the rehabilitation needed for the property, the applicant shall be required to provide documentation of insurability and to sign a certificate of hazard insurance at the completion of work, agreeing to maintain insurance on the property for the term of the loan and in an amount not less than the total of outstanding encumbrances on the property. The County of Lexington shall be assigned as a mortgagee on the required hazard insurance policy for the term of the loan.
- Applicants may be denied participation in the program if a conflict of interest exists, such as:
  1. exercises or has exercised any functions or responsibilities with respect to this program; or
  2. participated in the decision-making process related to funds for this program; or
  3. an elected or appointed official of the County.

The type and total cost of repair(s) will be evaluated by the Rehabilitation Inspector.

- Repair costs must be estimated to be a minimum of \$1,000.00 (emergency repairs will be evaluated on a case-by-case basis).
- Applicants previously assisted by the CHR Programs must wait 10 years before applying for additional assistance.
  - o In special circumstances, the Community Development Director has the ability to make an exception to this rule. All program eligibility requirements remain the same.
- The after-rehabilitation property value must be less than 95 percent of the median purchase price for Lexington County (\$181,900)<sup>1</sup>. This value will be determined by County staff through the Assessor's Office.
- Funds used for rehabilitation of owner-occupied single family property must meet the requirement of the homeownership requirement limits provided by HUD for existing housing; 24 CFR 92.254 (2)(ii).

#### INCOME REQUIREMENTS

County Rehabilitation Programs will use the Part 5 definition for annual income (as defined by the IRS and HUD) to determine eligibility. All household members 18 years and older shall be required to provide a copy of their State and Federal Income Tax Returns for the most recent two calendar years or if exempt from filing, sign a 4506-T, so that the County of Lexington may receive non-filing status verification from the IRS. The State and Federal Income Tax Returns will be used to verify gross income.

<sup>1</sup> <https://www.hudexchange.info/program/home/some-income-limits/>

Revised May, 2023

Page 22

Household income requirements are based upon household size, as demonstrated in the table below:

2022 Maximum Income Chart	
Household Size	Maximum Income
1	\$45,150
2	\$51,600
3	\$58,050
4	\$64,500
5	\$69,700
6	\$74,850
7	\$80,000
8	\$85,150

Source: US Department of Housing & Urban Development. Figures on this chart are subject to change without notice as calculated by HUD.

**Note:** Income eligibility is valid for six months from the date of application, after which the household income will need to be re-verified.

Income is considered to be the gross amount, before any deductions, of all funds received by the applicant and adult (18 and over) household members over the past twelve (12) months and projected for the upcoming twelve (12) months, from sources including, but not limited to:

- Forms of income – all wages, salaries, overtime pay, commissions, fees, tips, bonuses, alimony, child support, social security, disability, unemployment, insurance, and other compensation for personal services (before payroll deductions). Employment income must be verified using the "Verification of Employment" sheet that will be sent to the employer.
- Net income from self-employment – this is defined as the higher of either current year-to-date net income (verified through bank statements), or the highest net income shown on any

Revised May, 2023

Page 23

one of the last three years' State and Federal Income Tax Returns, with all schedules and attachments. Net income is defined as gross income plus depreciation, plus amortization, plus deductions for use of a home, plus deductions. Transcripts of tax returns provided by the IRS will be required.

- All gross periodic payments received from Social Security, annuities, interest and dividend income, insurance policies, retirement funds, pensions, disability or death benefits, and other similar types of periodic receipts.
- Payments in lieu of earnings such as unemployment, workers compensation, severance pay, and welfare assistance. However, lump sum payments under health and accident insurance such as workers compensation are excluded.
- Periodic and determinable allowances, such as alimony and child support payments, and regular contributions or gifts received from persons not residing in the dwelling to the extent that such payments are reasonably expected to continue.
- All regular pay, special pay, and allowances of a member of the Armed Forces (whether living in the dwelling or not) who is the head of the household, spouse, or other person whose dependents are residing in the unit.
- Income of household members between the ages of 18 to 24 and who are full-time students will be counted up to a maximum of \$400.00 with the exception of head of household or spouse.
- Net rental proceeds.

The following exceptions shall apply in the determination of gross income:

- Income of household members under the age of 18.
- Payments received for the care of foster children. *Note:* Foster children shall not be used in the determination of the number of persons in the household.
- Lump sum additions to family assets such as inheritance, insurance payments (including payments under health and accident insurance and workers compensation), capital gains, and settlement for personal and property losses. These items shall be considered assets for the purposes of this program and are subject to the requirements regarding maximum assets on hand.
- Amounts received by the family that are specifically for, or in reimbursement of the cost of medical expenses for any family member.
- Income from a live-in aide. *Note:* A live-in aide shall not be used in the determination of the number of persons in the household.
- Amounts of education scholarships paid directly to the student or the educational institution, and accounts paid by the government to veterans for use in meeting the costs of tuition, fees, books, and equipment. Any amounts not used for these purposes are to be included as income.
- Special pay for a household member serving in the Armed Forces who is exposed to hostile fire.
- Temporary, non-recurring, or sporadic income.
- Lump sum payments of Social Security Insurance and Social Security benefits, the value of the allotment provided under the Food Stamp Act of 1977.

Income verification cannot be more than six (6) months old from the date the contract is signed. Income will be verified through income tax returns, pay stubs, bank statements, and through other

Revised May, 2023

Page 24

means deemed necessary by the Grant Programs Division staff. Assistance is considered awarded when a contract is signed between the County, contractor, and the homeowner.

#### ELIGIBLE REPAIRS

Below is a non-exhaustive list of common eligible program repairs. Please seek staff guidance for other eligible activities.

- Code violations (2021 International Residential Code) (CIR Program only)
- Roof repair
- Plumbing
- Repair/replace wells and septic tanks
- Tree removal when tree poses an immediate risk
- Repair/replace insulation
- Replace electrical wiring and panel boxes
- Replace rotted wood, windows, and doors
- Repair/replace handrails, banisters, walks, or steps
- Repair damaged walls and floors
- Replace faulty HVAC, furnace equipment and duct work
- Handicap Accessibility Improvements (Ramps, etc.)
- Repairs involving paint deterioration, loose paint, visible surface dust, paint chips or the disturbance of a painted surface will involve a lead-based paint evaluation (CIR Program only)
- Rehabilitation assistance funded through this program must provide for the purchase and/or installation of interconnected smoke detectors in all dwellings proposed for rehabilitation which do not contain such devices; location and installation shall comply with State law.

#### INELIGIBLE REPAIRS AND IMPROVEMENTS

- Extraneous/luxury materials, fixtures, and landscaping which exceed those customarily used in the locality.
- Repair or replacement of non-essential items such as hot tubs and swimming pools.
- Work on other buildings on the property not considered the primary residence (e.g. detached garage, sheds, or barns).
- Properties may be deemed ineligible based on such factors as the presence of mold, lead, absence of a solid foundation or lack of a structural base capable of supporting rehabilitation construction (due to flooding, termite damage, inadequate original construction, etc.), thereby preventing the unit from being improved (feasibility test).
- Properties may be deemed ineligible if there are obstructions posing safety hazards and limited access to areas of repairs.
- Any addition or any portion of a home that has been modified without a permit issued from the County of Lexington and constructed by a licensed contractor, will not be eligible for repairs. (i.e. garages that have been closed in and made part of living quarters, porches enclosed and made part of the living quarters, etc).

Revised May, 2023

Page 25

- The property may be deemed ineligible depending on the results of the environmental review and evaluation.

If assistance is granted, it is the applicants' responsibility to move all furnishings, personal belongings, etc. as necessary in the area of repairs.

#### AFFORDABILITY PERIOD AND POST REPAIRS OCCUPANCY REQUIREMENTS

##### Comprehensive Housing Rehabilitation

To ensure the HOME investments yield affordable housing HOME imposes occupancy requirements over the length of an affordability period of five (5) years for the CIR Program.

If there is a change in ownership or occupancy of the property within the five (5) year period, the pro-rata loan balance is due in full. The property owner must sign an agreement that will place a lien against the property for five (5) years.

##### Residential Anti-displacement and Relocation

The County will be undertaking homeownership and homeowner rehabilitation activities. These activities are not anticipated to result in any person(s) being displaced from their home(s). If the County must conduct any relocation activities funded in whole or in part with grant funds or conducted in conjunction with grant funded activities, it will be done in compliance with the requirements of the Uniform Relocation Assistance and Real Property Acquisition Act of 1970, its amendments and implementing regulations.

#### COMPREHENSIVE HOUSING REHABILITATION IMPLEMENTATION

##### I. Grant Application

Applicants must complete the full application packet to be considered for assistance through the Comprehensive Housing Rehabilitation Program.

##### II. Environmental Review

The HOME regulations require program administrators to comply with a number of laws relating to the environment. No federal funds can be spent or committed to the project until an environmental review has been completed. No work will commence until the full environmental review process has been completed. However, the property still may be deemed ineligible for the CIR Programs depending upon the results of the environmental review and evaluation.

##### III. Staff Initial Inspection/Housing Rehabilitation Specialist Inspection

An initial staff inspection of property will be performed when the applicant is income eligible and the environmental review is complete. Once County staff has performed the initial inspection, an

Revised May, 2023

Page 26

Inspection with the County's contracted Housing Rehabilitation Specialist will inspect the home, evaluate repairs and determine if the home qualifies for the CHIR program.

#### IV. PBE/After Rehab Assessment and Work Write Up

After the inspection has been completed by the Rehabilitation Specialist a PBE (Public Body Estimate) will be generated to estimate the cost of repairs. An after rehabilitation assessment will be completed based upon the PBE and if acceptable a Work Write Up (WWU) will be prepared for bidding purposes.

If the work write-up is \$30,000 or less, the bid procedure will not be handled through procurement but by Lexington County Grant Staff. If the work write-up is \$30,000 or greater, the approved work write-up is sent to Procurement to be advertised on the County's and the South Carolina Business Opportunity (SCBO) websites for ten (10) calendar days. If the work write-up exceeds \$25,000, it will be presented to County Council for approval.

#### V. Bidding Process/Contractors (2 CFR 200.317-326)

Contractors interested in participating in the program:

- Must be registered with [Sams.gov](http://Sams.gov)
- Must not be listed on the Federal, State, or County debarment list.
- Must submit a completed *Contractor Application* and new vendor packet.
- Must participate in the County's Contractors' Workshop.
- Must have verification of current contractor liability, worker's compensation, auto insurance.

Once all applicable requirements are satisfied, contractors will be placed on a list of approved contractors for Lexington County and will be given the opportunity to bid on repair projects as they become available.

The bidding process must follow Lexington County Procurement Rules and Regulations:

- Purchases not exceeding \$1,500, no competition required if price is deemed fair and reasonable;
- Purchases of \$1,501 to \$5,000 require two verbal or written bids.
- Purchases of \$5,001 to \$25,000 require three written quotes by qualified bidders
- Purchases over \$25,000 shall be based upon formal bid requirements through Procurement

Once a contractor is awarded a project, the contractor is responsible for the quality of their work, the work of his/her employees, and work performed by any subcontractors and their employees. They are responsible to obtain all permits required to complete the job and request inspections from Lexington County to inspect and approve all work that has been permitted. The contractor must supply to homeowner all manufacturers' warranties and copies to the Grant Programs Division at the time of the final inspection.

The contractor must warranty all work for a period of one (1) year from the date of completion (final inspection and acceptance by homeowner) of the entire job under contract. The contractor will correct any defects that occur during that period at no additional cost to the homeowner or County.

The responsible contractor should respond to warranty calls within seven (7) days of notification by the homeowner. Emergency warranty calls should be responded to the same day the complaint is received. A contractor's failure to respond to homeowner's service request within a reasonable time could result in the removal of approved vendor status with the County.

Revised May, 2023

Page 27

Should the contractor or subcontractor be located outside the geographical service area of Lexington County, the contractor is prohibited from charging residents a premium service fee or denying warranty work. The contractor shall treat the property as if it were located in the contractor's service area when providing repairs and warranty services.

The CD staff reserves the right to debar any contractor or subcontractor from participating in this program on the basis of unsatisfactory performance or improperly with the contractor's participation in the program. The contractor's or subcontractor's insurance agency shall allow the County ten (10) days before said insurance can be canceled. This provision shall be included in the Homeowner - Contractor Agreement.

#### VI. Notice to Proceed

The contractor must begin work on the property no later than ten (10) business days after the Three day right of recession, receipt of the *Notice to Proceed* and the execution of the *Homeowner and Contractor Agreement* unless a later date is mutually agreed upon by the homeowner, contractor, and Grant Programs staff.

#### IX. Change Orders

A change order involves any modification of the original agreed upon scope of work. Under the County's program guidelines, **Change Orders should only occur when items are discovered during the rehabilitation process and could not be identified during an initial inspection. Excessive and unnecessary change orders will not be honored.**

Since change orders add to the administrative cost of the job they will only be considered if additional code violations (2021 International Residential Code) are discovered during the repair or if the change is necessary for proper completion of the job.

#### X. Final Inspection and Close Out

The final inspection/close-out meeting will be scheduled once the contractor notifies the County that all repairs have been completed. Staff will schedule a final inspection with the Rehabilitation Inspector and homeowner and will prepare a final close-out packet for the homeowner. If the homeowner will not sign off on the close-out documents and all rehab has been approved by Lexington County Inspectors, then Grants Staff will have the option to process the contractor's invoice and void the contractor's one year warranty to the homeowner.

#### XVII. LIENS

It is the County of Lexington's Practice to place a lien on all properties receiving financial assistance, to include HFA and the Comprehensive Rehabilitation Program.

#### XII. Marketing Program

The CHIR Program may be marketed in a variety of ways. This may include informational brochures, flyers, newspaper articles, advertisements, the County's website, community meetings, and other methods.

#### XIII. Denials

Revised May, 2023

Page 28

Applications for assistance may be denied for the following reasons:

1. Applicant and/or household occupants' failure to meet the eligibility criteria.
2. Property failed to meet the rehabilitation standard eligibility criteria.
3. Applications that contain false or misleading information.
4. Failure to provide complete information to the County, within the requested timeframe.
5. Applicants who have previously been denied acceptance into the County's Comprehensive Housing Rehabilitation program due to ineligible repairs and/or rehabilitation costs exceeding the parameters of the program must wait a period of five (5) years (from the date of denial) prior to re-applying to the Comprehensive Housing Rehabilitation program.

#### XIV. Appeals

Applicants may appeal a decision of denial by filing a written appeal to the Grants Division within thirty (30) calendar days of receipt of such a written decision. The CD Director will review the appeal and make a final decision or refer to the Grant Programs Advisory Committee, if necessary.

#### XV. PROGRAM CHANGES

At the discretion of the Grant Programs Division, the program may be modified to ensure maximum efficiency and effectiveness of program funds and to otherwise meet the intent of assisting low- and moderate-income households in improving their homes through the programs. In appropriate circumstances, provisions of the policy may be waived by the County unless required by law.

#### XVI. INTERNAL CONTROLS

The HOME Investment Partnerships Program is administered by the Grants Division within the Community Development Department. Other individuals and/or departments play a key role in the day to day of the HOME program such as the County Administrator, County Council, County Counselor, Director of Community Development, Procurement Department, Finance Department and the Advisory Committee. Lexington County Grants Division follows 2 CFR 200.303 (e) to ensure that reasonable measures are taken to safeguard all personal identifiable information.

#### XVII. DISCLAIMER

Any discussions with or any information given by a Lexington County employee or its designee regarding application for the Lexington County Rehabilitation Program prior to receipt of a formal commitment letter from Lexington County or its designee committing a specific amount of funds to the project is only for program information and may not be considered a binding commitment on the part of Lexington County to provide funds or technical assistance to the project.

Any costs incurred prior to receipt of a formal commitment letter from Lexington County or its designee committing a specific amount of funds to the project is at the risk and expense of the applicant.

Lexington County will not discriminate on the basis of age, race, color, religion, sex, national origin, disability or familial status in the admission or access to, or treatment or employment in, its federally assisted programs or activities.

The implementation procedures may be modified at the discretion of the Community Development Grant Programs Division.

#### HOMESHOWERSHIP ASSISTANCE PROGRAM

##### Purpose of Program

- To provide down payment and closing cost assistance to homebuyers in Lexington County.
- To increase the opportunity of homeownership for low and moderate income persons/families.
- To increase awareness of the need for affordable housing within Lexington County.
- To educate citizens about homeownership, credit repair, and financing.

##### Eligible Participants

Must be employed. If applicant is not employed, he/she must have income documentation supporting one of the following:

- 1) Disability Benefits
- 2) Social Security Benefits
- 3) Other Retirement Benefits
- 4) Proof of Job Displacement
- 5) Proof of Registration with Employment Security Commission

Must be qualified as a household of low to moderate income (Lexington County will use the Part 5 Definition of Income - based on 60% of the area median income with adjustments for household size - see below):

Number of Members in Family	Maximum Allowable Income
1	\$45,150
2	\$51,600
3	\$58,050
4	\$64,500
5	\$69,700
6	\$74,850
7	\$80,000
8	\$85,150

Source: 2022 HUD Income Limits

Must be able to secure a home mortgage independent of a co-signer

Must occupy the property as a principal residence

Cannot presently own a home or land, nor have previously owned a home or land as principle residence in the last three (3) years.

Must complete required homeownership education course

Must provide legal separation documents to support marital status if separated or divorce decree

Applicants wishing to qualify for assistance may not have "liquid assets" in excess of \$20,000. Applicants sixty-two (62) years or older however, may have liquid assets up to a maximum of \$30,000.

#### Grant Amount

The assistance shall not exceed \$5,000 per applicant or household. The assistance will be applied toward down payment and/or closing costs, which is specified by the lending institution. Grant funds will be provided on a first-come, first-served basis until all available funds are expended.

#### Other Funding Sources

Other state/federal housing grant or loan assistance programs can be used in conjunction with Lexington County's assistance. These programs, however, cannot interfere with the County's program requirements and the County must maintain 2<sup>nd</sup> lien position.

#### Lead Base Paint

It is a federal requirement that all homes built prior to 1960 be inspected for lead-based paint. This regulation requires corrective measures that cannot be avoided. The lead-based paint removal would be considered a rehabilitation expense, and is not included in the Lexington County Homeownership Assistance Program.

#### Recapture of Funds

The County of Lexington has adopted the recapture provisions for HOME funds. This is a mechanism to recapture all or a portion of the direct HOME subsidy if the HOME recipient decides to sell the house within the affordability period at whatever price the market will bear. Recapture funds may be used for any HOME-eligible activity.

Enforcement of the recapture restrictions will be ensured through a lien (recorded deed of trust or mortgage securing repayment of the HOME subsidy).

#### GRANT PROGRAMS ADVISORY COMMITTEE

The Lexington County Grant Programs Advisory Committee provides input to and maximizes the effectiveness of the HOME program. The members of the Committee act in an advisory role to the CD staff. All members shall reside in Lexington County or represent organizations or businesses serving residents of Lexington County. The Committee provides advice to staff in reviewing written appeals.

The review functions of the Committee shall be as follows:

Revised May, 2023

Page 31

1. Review program complaints;
2. Act on appeals of any decisions of the CD staff regarding complaints or interpretation of program guidelines;
3. Hear appeals of decisions made by Staff regarding the program.

#### Program Amendments

The CD Staff has the authority to amend any Housing Rehabilitation Program Guidelines.

Revised May, 2023

Page 32

## FEDERAL REQUIREMENTS

### **THE FOLLOWING REQUIREMENTS APPLY TO ALL GRANTS ADMINISTERED BY THE LEXINGTON COUNTY COMMUNITY DEVELOPMENT GRANT PROGRAM DIVISION - HOME DIVISION:**

HOME-assisted projects are subject to the Federal requirements found in the HOME final rule (24 CFR Part 92, subpart H). The requirements of this subpart include nondiscrimination, equal opportunity, disclosure, delimitation, drug-free workplaces, affirmative marketing, minority outreach, environmental review, relocation, labor, lead-based paint, conflict of interest, Executive Order 12372, and consultant activities.

#### **Residential Anti-Displacement and Relocation (49 CFR Part 24)**

Lexington County will be undertaking homeownership and homeowner rehabilitation activities. These activities are not anticipated to result in any person(s) being displaced from their home(s). If Lexington County must conduct any relocation activities funded in whole or in part with grant funds or conducted in conjunction with grant funded activities, it will be done in compliance with the requirements of the Uniform Relocation Assistance and Real Property Acquisition Act of 1970, its amendments and implementing regulations.

#### **Conflict of Interest (2 CFR 200.318 (a)(1))**

It has been determined that employees of Lexington County Government may be eligible, based on income, to participate in the affordable housing programs, with the exception of any employee who exercise any functions or responsibilities with respect to the activities assisted with this program. All participants in the program must meet eligibility and program requirements.

Persons with questions or comments concerning this issue may contact the Community Development Grant Program Division at 212 South Lake Drive, Suite 401, Lexington, SC 29072, at (803) 785-8121.

The County of Lexington does not discriminate on the basis of age, color, religion, sex, national origin, familial status, or disability in the admission or access to, or treatment of employees in its federally assisted programs or activities. Cindy Houtman, County of Lexington Administrative, Grants & Title VI manager, has been designated to coordinate compliance with the discrimination requirements contained in the US Department of Housing and Urban Development's regulations implementing Section 504 (24 CFR Part 8, dated June 2, 1968).

#### **Appeal Procedures**

Applicants may appeal a decision of denial by filing a written appeal to the Lexington County Community Development HOME Investment Partnerships Program within 30 calendar days of receipt of such a written decision. The Grant Programs Division Manager, Administrators, and the Community Development Director will review all appeals. If the appeal is not approved the denial will be final.

If the applicant is deemed ineligible due to family income, there will be no appeal to the Committee. All decisions on appeals must be in accordance with the HUD and County guidelines. The Committee does not have the authority to waive or modify mandatory eligibility requirements.

Revised May, 2023

Page 33

#### **Handicapped Accessibility**

HOME-assisted projects are required to be in compliance with the following three (3) regulations regarding accessibility of federally assisted buildings, facilities and programs.

Section 504: HOME-assisted multi-family housing must meet the accessibility requirements of Section 504 of the Rehabilitation Act of 1973. The Act prohibits discrimination against persons with disabilities in the operation of programs receiving Federal financial assistance. HUD regulations implementing Section 504 contain accessibility requirements for new construction and rehabilitation of housing as well as requirements for ensuring that the programs themselves are operated in a manner that is accessible to and usable by persons with disabilities.

New Construction projects with five (5) or more units must have a minimum of five percent (5%) of the units accessible to individuals with mobility impairments and an additional two percent (2%) of the units accessible to individuals with sensory impairments.

Rehabilitation projects with fifteen (15) or more units and rehabilitation costs that will be seventy-five percent (75%) or more of the replacement costs of the completed facility must have a minimum of five percent (5%) of the units accessible to individuals with mobility impairments and an additional two percent (2%) of the units accessible to individuals with sensory impairments.

Americans with Disabilities Act (ADA). The ADA requires all facilities designed or constructed after January 26, 1993 must be accessible and usable by disabled persons. The ADA also requires the removal of structural architectural and communication barriers in existing facilities. Removal must be readily achievable, easily accomplished and able to be carried out without undue difficulty or expense.

Fair Housing: Multi-family dwelling units must comply with the Fair Housing Act construction and design requirements as required by 24 CFR 100.205.

#### **Davis Bacon**

The Davis-Bacon Act (24 CFR Part 92.25-4) as administered under the HOME Program applies to every contract for the construction (rehabilitation or new construction) of housing (rental and homeownership activities) that includes 12 or more units assisted with HOME funds. It requires contractors to pay prevailing wages to all laborers and mechanics employed in the development and classes for the withholding of funds to ensure compliance. Prevailing wages are determined by the Department of Labor. Wage determinations are listed by construction type and county. They can be found online at [www.dhs.gov/davis-bacon/](http://www.dhs.gov/davis-bacon/) or [www.wdnl.gov](http://www.wdnl.gov). Wage decisions are locked in when the contract is executed and no future modifications are applicable to the contract or project. While construction is underway, HOME recipients that must comply with the Davis Bacon Act must submit weekly payroll information to the County, conduct on-site employee interviews, etc. have contracts and procurement policies that are in compliance with Federal Labor Standards. Once Davis-Bacon is triggered, the requirements are applicable to the construction of the entire project, including portions of the project that are not assisted with HOME funds. HOME prohibits breaking a single project into multiple contracts for the purpose of avoiding Davis-Bacon.

The purpose of on-site interviews is to compare the worker's views on hours worked type of work performed and wages received against the information submitted in the certified payroll documents.

Davis-Bacon exceptions (does not apply to individuals who):

Revised May, 2023

Page 34



- Perform a service or services for which the individual has volunteered, and thus receives no compensation.
- Receive payment for expenses, reasonable benefits, or a nominal fee to perform services for which the individual has volunteered, and such persons are not otherwise employed at any time in the construction work.
- Participate in a sweat equity program which permits members of an eligible family to provide labor in exchange for acquisition of property for homeownership or to provide labor in lieu of, or as a supplement to, rent payments.
- Apprentice enrolled in a bona fide apprenticeship programs approved and registered with the Department of Labor or a DOL recognized State Apprenticeship Council.

**Small and Minority Business Involvement**

HUD and the County encourage and support the involvement of small, minority and female-owned business firms in HOME projects. Accordingly, affirmative steps should be taken to assure that small, minority and female-owned businesses have the opportunity to provide supplies, equipment, construction and services. Affirmative steps include the following:

- Ensure that qualified small, minority and female owned businesses are included on solicitation lists.
- Assure that small, minority and female owned businesses are solicited whenever they are potential sources.
- Where the requirements permit, establish delivery schedules that will encourage participation by small, minority and female owned business.
- Use the services and assistance of the Small Business Administration, the Office of Minority Business Enterprise of the U. S. Department of Commerce and the Community Services Administration as required.
- The Governor's Office of Small and Minority Business Assistance includes a directory of certified minority and female-owned small businesses on its website at [www.govcopp.state.sc.us/osmba/](http://www.govcopp.state.sc.us/osmba/).
- If any subcontracts are to be let, require the prime contractor to take the affirmative steps listed above.

**Environmental Review**

Each HOME funded project is required to conduct an Environmental Review in accordance with 24 CFR Parts 50 and 59, as amended. In accordance with 24 CFR Part 59 applicants, recipients, owners, developers, sponsors or any third party partners cannot take any physical actions on a site, start construction, commit, expend or enter into any legally binding agreements that constitute choice limiting actions for any HUD or non-HUD funds before the environmental review process has been completed and an "Authority to Use Grant Funds" has been received from HUD. Any violation of the statutory regulation will result in the automatic de-obligation of a HOME award or remove an application from funding consideration. The environmental review process must be completed before executing a construction contract or taking any physical actions on a site. HOME funds may not be used to reimburse a non-governmental entity for project-related costs incurred after the entity

has submitted an application for HOME funds and before approval by HUD of the Request for Release of Funds has been received except for activities that are exempt or are excluded and not subject to the laws in 58.5 and for certain relocation expenses.

If construction is underway before submitting the application for HOME funds, all work must cease immediately once the application for HOME funds has been made. No work or choice limiting action may occur after the application date. Work may only recommence after an environmental review has been completed and an "Authority to Use Grant Funds" notification has been received.

**Asbestos Policy**

The U.S. Department of Housing and Urban Development (HUD) does not require that the County test for asbestos in its GR housing rehabilitation program. Furthermore, the South Carolina Department of Health and Environmental Control (DHEC) exempts private residence from its asbestos renovator/demolition standards. DHEC defines a private residence as a residence containing four or fewer units (i.e., single family home, duplex), unless the property and/or project is being repurposed for commercial purposes. There are, however, federal standards governing the removal and disposal of asbestos.

According to the South Carolina Department of Health and Environmental Control, asbestos is only dangerous when it's deteriorated to the point where its tiny fibers can be released into the air and inhaled. If the material is solid (in appearance and to touch) and maintained in good condition, it presents no problem.

Therefore, the County's Grant Programs will test for asbestos in its rehabilitation programs if an area to be disturbed during the course of a project is suspected of containing friable asbestos material.

The County of Lexington has competitively procured the services of a company specializing in asbestos removal.

**Lead Base Paint**

HOME-assisted projects are subject to comply with Lead-Based Paint Regulations. Effective September 15, 2000, the U.S. Department of Housing and Urban Development (HUD) issued a new regulation designed to protect young children from lead-based paint hazards in housing that is financially assisted by the federal government or being sold by the government. The regulation, "Requirements for Notifications, Evaluation and Reduction of Lead-Based Paint Hazards in Federally Owned Residential Property and Housing Receiving Federal Assistance" was published in the Federal Register on September 15, 1999. This regulation appears within title 24 of the Code of Federal Regulations as part 35 (24 CFR Part 35). The requirements apply to housing built before 1978; the year lead-based paint was banned nationwide for consumer use. The regulation requires dust testing after paint is disturbed to make sure the home is lead-safe. Specific requirements depend on whether the housing is being disposed of, or assisted by the federal government, and also on the type and amount of financial assistance, the age of the structure, and whether the dwelling is rental or owner occupied.

**Types of Housing Covered**

- > Federally-owned housing being sold.
- > Housing receiving a federal subsidy that is associated with the property, rather than with the occupants (project-based assistance).
- > Public Housing

- Housing occupied by a family (with a young child) receiving tenant-based subsidy (such as a voucher or certificate).
- Multifamily housing for which mortgage insurance is being sought.
- Housing receiving federal assistance for rehabilitation, reducing homelessness, and other special needs.
- All units constructed prior to January 1, 1978 assisted with HOME funds for rehabilitation, conversion, acquisition, down payment and closing cost assistance and tenant based rental assistance.

**Types of Housing Not Covered**

- Housing built on or after January 1, 1978, when lead paint was banned for residential use.
- Housing exclusively for the elderly or people with disabilities, unless a child under age 6 is expected to reside there for a prolonged period of time.
- Zero bedroom dwellings, including efficiency apartments, single-room occupancy housing, dormitories, or military barracks.
- Property that has been found to be free of lead-based paint by a certified lead-based paint inspector.
- Property where all lead-based paint has been removed and clearance has been achieved.
- Unoccupied housing that will remain vacant until it is demolished.
- Non-residential property.
- Any rehabilitation or housing improvement that does not disturb a painted surface.

All lead-based paint activities shall be performed using safe work practice standards as required by 40 CFR Part 745. All lead-based paint activities must be undertaken by an EPA Lead-Based Paint Certified individual or firm. When applicable, the lead-based paint disclosure rule requires that all tenants, owners and purchasers are provided with the pamphlet titled "Protect Your Family from Lead in Your Home". Lead-based paint regulations apply to all units in a HOME-assisted project regardless of the occupancy of the unit, including acquisition not involving rehabilitation (e.g. downpayment assistance programs). In each unit receiving HOME assistance, all intact and non-intact interior and exterior surfaces in HOME-assisted projects must be inspected for presence of defective paint, i.e. cracking, scaling, chipping, peeling or loose paint. Where defective paint is found, it must be treated to eliminate immediate hazards. As a minimum, treatment is removal of the defective paint and repainting of the surface.

**Four Approaches to Implementing Lead Hazard Evaluation and Reduction**

<b>Approach 1. DO NO HARM</b>		
Lead Hazard Evaluation	Lead Hazard Reduction	Options
Paint testing performed on surfaces to be disturbed.	Repair surfaces disturbed during work.  Safe work practices used when working on areas identified as lead-based paint.  Clearance performed	Presume lead-based paint is present and use safe work practices on all surfaces being disturbed.
<b>Approach 2. IDENTIFY AND STABILIZE DETERIORATED PAINT</b>		
Lead Hazard Evaluation	Lead Hazard Reduction	Options
Visual assessment performed to identify deteriorated paint.	Paint Stabilization of identified deteriorated paint.  Safe work practices used.  Clearance Performed	Perform paint testing on deteriorated paint. Safe work practices requirements only apply to lead-based paint.
<b>Approach 3. IDENTIFY AND CONTROL LEAD HAZARDS</b>		
Lead Hazard Evaluation	Lead Hazard Reduction	Options
Paint testing performed on surface to be disturbed.  Risk assessment performed on entire dwelling.	Interim controls performed on identified hazards.  Safe work practices used.  Clearance performed	Presume lead based paint and/or lead based paint hazards are present and perform standard treatments.
<b>Approach 4. IDENTIFY AND ABATE LEAD HAZARDS</b>		
Lead Hazard Evaluation	Lead Hazard Reduction	Options
Paint testing performed on surfaces to be disturbed.  Risk assessment performed on entire dwelling	Abatement performed on identified hazards.  Interim Controls performed on identified hazards on the exterior that are not disturbed by rehabilitation.  Safe work practices used. Clearance performed	Presume lead-based paint and/or lead-based paint hazards are present and perform abatement on all applicable surfaces: deteriorated, intact, friction, creviche surfaces, and surfaces to be disturbed.

LEXINGTON COUNTY  
 COMMUNITY DEVELOPMENT  
 HOME PROGRAM  
 212 SOUTH LAKE DRIVE, SUITE 401  
 LEXINGTON, SOUTH CAROLINA 29072  
 (803) 785-8121

Lexington County does not discriminate on the basis of age, color, race, religion, sex, national origin, familial status or disability in the admission, access to, or treatment or employment in its federally assisted programs or activities.

**Appendix A: Revision History**

REVISION HISTORY			
Issue No.	Changed By	Approved By	Date + Description of Change
1	Sandy Fox		6/3/19 Included 2 CFR Part 200; updated income limits
2	Noelle Jacobs	Sandy Fox	Updated CCDBG Staff – Robbie Derrick; January 28, 2020
3	Noelle Jacobs	Sandy Fox	Updated International Building Code from 2015 to 2018; January 28, 2020
4	Noelle Jacobs	Sandy Fox	Updated HUD Income and Purchase Price Limits for 2020
5	Katherine Cason	Sandy Fox	8/24/2020 Updated HUD Income Limits
6	Noelle Jacobs	Sandy Fox	Updated CCI designated person from Michael Moore to Cindi Hemigon
7	Katherine Cason	Sandy Fox	Updated Financial Coordinator to Haley Smith.
8	Noelle Jacobs	Sandy Fox	Updated the income limits for 2021: 94/21
9	Katherine Cason	Sandy Fox	Updated Rehab Assistance amounts and lien per ord. 11/22/21.
10	Sara Byrd	Sandy Fox	Updated HOME Income Limits
<b>Special Comments:</b>			

REVISION HISTORY			
Issue No.	Changed By	Approved By	Date + Description of Change
11	Katherine Cason	Sandy Fox	Updated Building Code and Staff Names 4/18/23
12	Katherine Cason	Sandy Fox	Removed year from Basic Statutory Mortgage Limit (pg 12) and updated building code to 2021 on page 21. 5/4/23


FERN

# SF-424

OMB Number: 4040-0004  
Expiration Date: 12/31/2022

Application for Federal Assistance SF-424		
<b>* 1. Type of Submission:</b> <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
<b>* 2. Type of Application:</b> <input type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision		
<b>* 3. Date Received:</b> <input type="text" value=""/>		
<b>* 4. Applicant Identifier:</b> <input type="text" value="E-22-00-45-3064"/>		
<b>5a. Federal Entity Identifier:</b> <input type="text" value=""/>		<b>5b. Federal Award Identifier:</b> <input type="text" value=""/>
<b>State Use Only:</b> <b>6. Date Received by State:</b> <input type="text" value=""/>		
<b>7. State Application Identifier:</b> <input type="text" value=""/>		
<b>8. APPLICANT INFORMATION:</b>		
<b>* a. Legal Name:</b> <input type="text" value="County of Lexington"/>		
<b>* b. Employer/Taxpayer Identification Number (EIN/TIN):</b> <input type="text" value="7-5000379"/>		<b>* c. UEI:</b> <input type="text" value="A0000000000000000000"/>
<b>d. Address:</b>		
<b>* Street:</b> <input type="text" value="212 S. East Street, Suite 101"/>		
<b>* Street 2:</b> <input type="text" value=""/>		
<b>* City:</b> <input type="text" value="Lexington"/>		
<b>* County/Parish:</b> <input type="text" value=""/>		
<b>* State:</b> <input type="text" value="SC: South Carolina"/>		
<b>* Province:</b> <input type="text" value=""/>		
<b>* Country:</b> <input type="text" value="USA: UNITED STATES"/>		
<b>* Zip / Postal Code:</b> <input type="text" value="29002"/>		
<b>e. Organizational Unit:</b>		
<b>Department Name:</b> <input type="text" value=""/>		<b>Division Name:</b> <input type="text" value=""/>
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
<b>* First Name:</b> <input type="text" value="Derrick"/>		
<b>* Middle Name:</b> <input type="text" value=""/>		
<b>* Last Name:</b> <input type="text" value="Derrick"/>		
<b>* Suffix:</b> <input type="text" value=""/>		
<b>* Title:</b> <input type="text" value="Community Development Director"/>		
<b>Organizational Affiliation:</b> <input type="text" value=""/>		
<b>* Telephone Number:</b> <input type="text" value="(815) 785-8121"/>		<b>* Fax Number:</b> <input type="text" value="(815) 785-8182"/>
<b>* Email:</b> <input type="text" value="rderrick@lex-co.com"/>		

Application for Federal Assistance SF-424	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="Individual Business"/>	
<b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/>	
<b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/>	
<b>* Other (specify):</b> <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U.S. Department of Housing and Urban Development"/>	
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14-518"/> UHDA Title: <input type="text"/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text" value="H 22 L01 45 0004"/> Title: <input type="text" value="CDDP"/>	
<b>13. Competition Identification Number:</b> <input type="text"/> Title: <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="County of Washington Child Care and"/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="2"/>	* b. Program/Project: <input type="text" value=""/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="07/01/2022"/>	* b. End Date: <input type="text" value="06/30/23"/>
18. Estimated Funding (\$):	
* a. Federal:	<input type="text" value="1,952,133.00"/>
* b. Applicant:	<input type="text" value=""/>
* c. State:	<input type="text" value=""/>
* d. Local:	<input type="text" value=""/>
* e. Other:	<input type="text" value=""/>
* f. Program Income:	<input type="text" value=""/>
* g. TOTAL:	<input type="text" value="1,952,133.00"/>
* 18. Is Application Subject to Review By State Under Executive Order 12872 Process?	
<input checked="" type="checkbox"/> a. This application was made available to the State under the Executive Order 12872 Process for review or <input type="text" value=""/>	
<input type="checkbox"/> b. Program is subject to E.O. 12872 but has not been selected by the State for review	
<input type="checkbox"/> c. Program is not covered by E.O. 12872	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes" provide explanation and attach	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
<small>** The list of certifications and assurances, or an Internet site where you may obtain the list, is contained in the announcement or agency specific instructions.</small>	
Authorized Representative:	
First Name:	<input type="text" value="Lyn"/>
Middle Name:	<input type="text" value=""/>
Last Name:	<input type="text" value="Stul"/>
Suffix:	<input type="text" value=""/>
Title:	<input type="text" value="County Administrator"/>
Telephone Number: <input type="text" value="(903) 784-8100"/>	Fax Number: <input type="text" value="(903) 784-8100"/>
E-Mail: <input type="text" value="l.stul@lex-co.com"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="07/27/2022"/>

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

**Anti-Lobbying** --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-L.F.L., "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701a) and implementing regulations at 24 CFR Part 75.

  
\_\_\_\_\_  
Signature of Authorized Official

7/12/2022  
\_\_\_\_\_  
Date

\_\_\_\_\_  
County Administrator  
Title



## Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

**Citizen Participation** – It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

**Following a Plan** -- It is following a current consolidated plan that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification)

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) \_\_\_\_\_ [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** – It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

**Compliance with Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

**Compliance with Laws** -- It will comply with applicable laws.

  
\_\_\_\_\_  
Signature of Authorized Official

7/12/2022  
\_\_\_\_\_  
Date

County Administrator  
\_\_\_\_\_  
Title

**OPTIONAL Community Development Block Grant Certification**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Lyn P/L  
Signature of Authorized Official

7/13/2022  
Date

County Administrator  
Title

## APPENDIX TO CERTIFICATIONS

### INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

#### **Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

ASSURANCES - CONSTRUCTION PROGRAMS

GMR Number: 4040-0009  
Expiration Date: 02/28/2026

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (2549-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, certify that the applicant:


- |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ol style="list-style-type: none"> <li>1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.</li> <li>2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.</li> <li>3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.</li> <li>4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review, and approval of construction plans and specifications.</li> <li>5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.</li> <li>6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.</li> <li>7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.</li> </ol> | <ol style="list-style-type: none"> <li>8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).</li> <li>9. Will comply with the Lead-based Paint Poisoning Prevention Act (42 U.S.C. §§4501 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.</li> <li>10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1685), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-618), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.) as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.</li> </ol> |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

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Standard Form 424C (Rev. 7-87)  
Prescribed by OMB Circular A-112

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276e-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-332) regarding labor standards for federally-assisted construction agreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11980; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1988 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1986, as amended (16 U.S.C. §470), EO 11593 (Identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469e-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1986 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	County Administrator
APPLICANT ORGANIZATION	DATE SUBMITTED
County of Lexington	3/10/2021

SF-12-C (Rev. 7/97) Back

Application for Federal Assistance SF-424		
<b>* 1. Type of Submission:</b> <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
<b>* 2. Type of Application:</b> <input type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision		
<b>* 3. Date Received:</b> <input type="text"/>		
<b>4. Applicant Identifier:</b> <input type="text"/>		
<b>5a. Federal Entity Identifier:</b> <input type="text"/>		<b>5b. Federal Award Identifier:</b> <input type="text"/>
<b>State Use Only:</b> <b>6. Date Received by State:</b> <input type="text"/>		
<b>7. State Application Identifier:</b> <input type="text"/>		
<b>B. APPLICANT INFORMATION:</b>		
<b>* a. Legal Name:</b> <input type="text"/>		
<b>* b. Employer/Taxpayer Identification Number (EIN/TIN):</b> <input type="text"/>		<b>* c. UIC:</b> <input type="text"/>
<b>d. Address:</b>		
<b>* Street:</b> <input type="text"/>		
<b>Street2:</b> <input type="text"/>		
<b>* City:</b> <input type="text"/>		
<b>County/Parish:</b> <input type="text"/>		
<b>* State:</b> <input type="text"/>		
<b>Province:</b> <input type="text"/>		
<b>* Country:</b> <input type="text"/>		
<b>* Zip/Postal Code:</b> <input type="text"/>		
<b>e. Organizational Unit:</b>		
<b>Department Name:</b> <input type="text"/>		<b>Division Name:</b> <input type="text"/>
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
<b>Prefix:</b> <input type="text"/>	<b>* First Name:</b> <input type="text"/>	<b>Last Name:</b> <input type="text"/>
<b>Middle Name:</b> <input type="text"/>		
<b>Suffix:</b> <input type="text"/>		
<b>Title:</b> <input type="text"/>		
<b>Organizational Affiliation:</b> <input type="text"/>		
<b>* Telephone Number:</b> <input type="text"/>		<b>Fax Number:</b> <input type="text"/>
<b>* Email:</b> <input type="text"/>		

Application for Federal Assistance SF-424	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="B: County Government"/> <b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/> <b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/> <b>* Other (specify):</b> <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U.S. Department of Housing and Urban Development"/>	
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14-210"/> <b>CFDA Title:</b> <input type="text"/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text" value="H-20-CO-15-0200"/> <b>* Title:</b> <input type="text" value="HOME Entrepreneurial Assistance Program"/>	
<b>13. Competition Identification Number:</b> <input type="text"/> <b>Title:</b> <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>* 18. Descriptive Title of Applicant's Project:</b> <input type="text" value="County of Lexington E2020 Involvement, Sustainability Program"/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	



Application for Federal Assistance SF-424	
<b>16. Congressional Districts Of:</b>	
* a. Applicant: <input type="text" value="2"/>	* b. Program/Project: <input type="text" value=""/>
Attach an additional list of Program/Project Congressional Districts (if needed).	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
<b>17. Proposed Project:</b>	
* a. Start Date: <input type="text" value="07/01/2022"/>	* b. End Date: <input type="text" value="06/30/2025"/>
<b>18. Estimated Funding (\$):</b>	
* a. Federal	<input type="text" value="643,327.00"/>
* a. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value=""/>
* g. TOTAL	<input type="text" value="643,327.00"/>
<b>* 19. Is Application Subject to Review By State Under Executive Order 12872 Process?</b> <input checked="" type="checkbox"/> a. This application was made available to the State under the Executive Order 12872 Process for review on <input type="text" value=""/> <input type="checkbox"/> b. Program is subject to E.O. 12872 but has not been selected by the State for review <input type="checkbox"/> c. Program is not covered by E.O. 12872	
<b>* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" provide explanation and attach <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1901)</b> <input checked="" type="checkbox"/> I AGREE <small>* The list of certifications and assurances, or an alternate site where you may obtain the list, is contained in the announcement or agency specific instructions</small>	
<b>Authorized Representative:</b>	
Prefix: <input type="text" value=""/>	* First Name: <input type="text" value="Lyn"/>
Middle Name: <input type="text" value=""/>	
* Last Name: <input type="text" value="Stark"/>	
Suffix: <input type="text" value=""/>	
* Title: <input type="text" value="County Administrator"/>	
* Telephone Number: <input type="text" value="(803) 781-8100"/>	* Fax Number: <input type="text" value="(803) 785-8101"/>
* Email: <input type="text" value="l.stark@lex-co.com"/>	
* Signature of Authorized Representative: <input type="text" value="Lyn Stark"/>	* Date Signed: <input type="text" value="07/12/2022"/>

**Specific HOME Certifications**

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** – If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

**Eligible Activities and Costs** -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

**Subsidy layering** – Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

  
\_\_\_\_\_  
Signature of Authorized Official

7/12/2022  
\_\_\_\_\_  
Date

County Administrator  
\_\_\_\_\_  
Title

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0029  
 Expiration Date: 02/28/2026

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest on the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards of merit systems for programs funded under one of the 18 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart J).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1585-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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 Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§ 501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 132(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§409a et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subaward under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	County Administrator
APPLICANT ORGANIZATION	DATE SUBMITTED
County of Lexington	6/14/2022

RF-4240 (Rev. 7-97) Risk

Application for Federal Assistance SF-424	
<b>* 1. Type of Submission:</b> <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Cancelled Application	
<b>* 2. Type of Application:</b> <input type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	
* If Revision, select appropriate alternate: <input type="text"/> * Other (Specify): <input type="text"/>	
<b>* 3. Date Received:</b> <input type="text" value="2022-09-15"/>	
<b>4. Applicant Identifier:</b> <input type="text" value="B-22-00-15-0004"/>	
<b>6a. Federal Entry Identifier:</b> <input type="text"/>	
<b>5a. Federal Award Identifier:</b> <input type="text"/>	
<b>State Use Only:</b>	
<b>6. Date Received by State:</b> <input type="text"/>	
<b>* 7. State Application Identifier:</b> <input type="text"/>	
<b>8. APPLICANT INFORMATION:</b>	
<b>* a. Legal Name:</b> <input type="text" value="County of Lexington"/>	
<b>* b. Employer/Taxpayer Identification Number (EIN/TIN):</b> <input type="text" value="07-0300735"/>	
<b>* c. DUNS:</b> <input type="text" value="VULNERABILITY"/>	
<b>d. Address:</b>	
<b>* Street1:</b> <input type="text" value="42 S. Lake Drive, Suite 501"/>	
<b>Street2:</b> <input type="text"/>	
<b>* City:</b> <input type="text" value="Lexington"/>	
<b>County/Parish:</b> <input type="text"/>	
<b>* State:</b> <input type="text" value="SC: South Carolina"/>	
<b>Province:</b> <input type="text"/>	
<b>* Country:</b> <input type="text" value="025L DEICED SCOTZE"/>	
<b>* Zip/Postal Code:</b> <input type="text" value="29032"/>	
<b>e. Organizational Unit:</b>	
<b>Department Name:</b> <input type="text"/>	
<b>Director Name:</b> <input type="text"/>	
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>	
<b>Prefix:</b> <input type="text" value="Mr."/>	
<b>* First Name:</b> <input type="text" value="Isabella"/>	
<b>Middle Name:</b> <input type="text"/>	
<b>* Last Name:</b> <input type="text" value="Perick"/>	
<b>Suffix:</b> <input type="text"/>	
<b>Title:</b> <input type="text" value="Community Development Director"/>	
<b>Organizational Affiliation:</b> <input type="text"/>	
<b>* Telephone Number:</b> <input type="text" value="(803) 725-2121"/>	
<b>Fax Number:</b> <input type="text" value="(803) 725-0100"/>	
<b>* Email:</b> <input type="text" value="isabella@lexingtonsc.gov"/>	

Application for Federal Assistance SF-424			
* 8. Type of Applicant 1: Select Applicant Type:			
<input type="text" value="E - County Government"/>			
Type of Applicant 2: Select Applicant Type			
<input type="text"/>			
Type of Applicant 3: Select Applicant Type			
<input type="text"/>			
* Other (specify):			
<input type="text"/>			
* 10. Name of Federal Agency:			
<input type="text" value="U.S. Department of Housing and Urban Development"/>			
* 11. Catalog of Federal Domestic Assistance Number:			
<input type="text" value="14-815"/>			
CFDA Title:			
<input type="text"/>			
* 12. Funding Opportunity Number:			
<input type="text" value="F-22-0045-0014"/>			
* Title:			
<input type="text" value="F-22"/>			
* 13. Competition Identification Number:			
<input type="text"/>			
Title:			
<input type="text"/>			
* 14. Areas Affected by Project (Cities, Counties, States, etc.):			
<input type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Delete Attachment"/>	<input type="button" value="View Attachment"/>
* 16. Descriptive Title of Applicant's Project			
<input type="text" value="County of Des Moines Emergency Solutions Grant Project"/>			
Attach supporting documents as specified in agency instructions.			
<input type="button" value="Add Attachments"/>	<input type="button" value="Delete Attachments"/>	<input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="2"/>	* b. Program/Project: <input type="text" value="2"/>
Attach an additional list of Program/Project Congressional Districts, if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="07/01/2022"/>	* b. End Date: <input type="text" value="06/30/2023"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="150,150.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
** Program Income	<input type="text" value=""/>
* g. TOTAL	<input type="text" value="150,150.00"/>
* 18. Is Application Subject to Review By State Under Executive Order 12872 Process?	
<input checked="" type="checkbox"/> a. This application was made available to the State under the Executive Order 12872 Process for review on <input type="text" value=""/>	
<input type="checkbox"/> b. Program is subject to E.O. 12872 but has not been screened by the State for review	
<input type="checkbox"/> c. Program is not covered by E.O. 12872.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
21. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an Internet site where you may obtain this list is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="ms."/>	First Name: <input type="text" value="Lynn"/>
Middle Name: <input type="text"/>	
Last Name: <input type="text" value="Schnitzler"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="County Administrator"/>	
* Telephone Number: <input type="text" value="(803) 785-3100"/>	Fax Number: <input type="text" value="(803) 705-9101"/>
* Email: <input type="text" value="l.schnitzler@nc-cd.com"/>	
* Signature of Authorized Representative: <input type="text" value="Lynn Schnitzler"/>	* Date Signed: <input type="text" value="07/12/2022"/>

## Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

**Major rehabilitation/conversion/renovation** – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

**Matching Funds** – The recipient will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.



**Discharge Policy** – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



\_\_\_\_\_  
Signature of Authorized Official

7/12/2023

\_\_\_\_\_  
Date

County Administrator

\_\_\_\_\_  
Title

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4043-0030  
 Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the completed work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§473E-4783) relating to prescribed standards of merit systems for programs funded under one of the 19 executive or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. §60, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended; (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1944 (42 U.S.C. §§290 dd-3 and 290 ee-5), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§801 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424-L (Rev. 7-87)  
 Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§268a to 268a-7); the Copeland Act (40 U.S.C. §276a and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (E.O.) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11900; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 105 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a- et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect; (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	County Administrator
APPLICANT ORGANIZATION	DATE SUBMITTED
County of Washington	3/1/2022

SF 4240 (Rev. 7-97) Back

# SAGE CAPER



## HUD ESG CAPER

Report: CAPER      Period: 7/1/2022 - 6/30/2023      Your user level here: Data Entry and Account Admin

Contains all user entered forms and aggregate CAPER-CSV data.

### Report Date Range

7/1/2022 to 6/30/2023

### Contact Information

First Name: Sandy  
 Middle Name:  
 Last Name: Fox  
 Suffix:  
 Title: Grants Manager  
 Street Address 1: 219 S. Laska Drive  
 Street Address 2: Suite 401  
 City: Lenoir, NC  
 State: South Carolina  
 ZIP Code: 29077  
 E-mail Address: sfox@hhs.gov  
 Phone Number: (803)705-8529  
 Extension:  
 Fax Number: (803)705-0736

### Project types carried out during the program year

Component	Projects	Total Persons Reported	Total Households Reported
Emergency Shelter	1	159	58
Job Shelter	0	0	0
Transitional Housing	0	0	0
<b>Total Emergency Shelter Component</b>	<b>1</b>	<b>159</b>	<b>58</b>
Total Street Outreach	0	0	0
Total HUD - Rapid Re-Housing	1	61	26
Total Homelessness Prevention	1	8	5

### Grant Information

#### Emergency Shelter Rehab/Conversion

Did you create additional new bed-days through an ESG funded rehab project? No

Did you create additional shelter bed-days through an ESG funded conversion project? No

#### Data Participation Information

Are there any funded projects, except HHS or Admin, which are not listed on the Project, Line, and Jobs form? This includes projects in the HHS and from VSP. No

Printed responses are required for all CAPERS where the program year ends on or after 1-31-21 or later. This form replaces the narrative in CR 70 of the Open Planning Suite.

From the Action Plan that covered ESG for this reporting period copy and paste or retype the information in Question 6 on screen AP 00: "Describe the performance standards for evaluating ESG."

Lexington County has established Policy and Procedures for the ESG Program and will adhere to the strict monitoring guidelines for the CDBG program.

Based on the information from the Action Plan responses previously provided to HUD:

1. Briefly describe how you met the performance standards identified in A-90 this program year. If they are not measurable as written type in N/A as the answer.

Lexington County in consultation with the Continuum of Care developed performance standards that provide measures to evaluate the effectiveness of 1) targeting those who need the assistance the most; 2) reducing the number of people living on the streets and emergency shelter; 3) shortening the time people spend homeless; and 4) reducing each program participant's housing tenure or housing stability rate. All activities were monitored to ensure compliance by reviewing performance, timeliness, and financial management.

2. Briefly describe what you did not meet and why. If they are not measurable as written type in N/A as the answer.

N/A

OR

3. If your standards were not written as measurable, provide a sample of what you will change them to in the future? If they were measurable and you answered above type in N/A as the answer.

N/A

ESG Information from IUIIS

As of 1/28/2023

FY	Grant Number	Current Authorized Amount	Funds Committed By Recipient	Funds Drawn	Balance Remaining	Obligation Date	Expenditure Deadline
2022	E22JG450004	\$159,160.00	\$159,160.00	\$110,285.71	\$48,874.29	8/18/2022	0/18/2024
2021	F21JG450004	\$137,473.00	\$137,473.00	\$156,756.42	\$1,723.58	7/28/2021	7/28/2023
2020	C20JG450004	\$157,283.00	\$157,283.00	\$157,283.00	\$0	0/14/2020	9/14/2022
2019	L19JG450004	\$152,123.00	\$152,123.00	\$152,123.00	\$0	7/12/2019	7/12/2021
2018	E18JG450004	\$143,713.95	\$143,713.95	\$143,713.95	\$0	8/29/2018	8/29/2020
2017							
2016							
2015							
<b>Total</b>		<b>\$693,753.95</b>	<b>\$693,753.95</b>	<b>\$719,171.18</b>	<b>\$50,597.81</b>		

Expenditures	2022		2021		2020		2019	2018	2017	2016
	Yes	No	Yes	No	Yes	No	No	No	No	No
	FY2022 Annual ESG Funds for		FY2021 Annual ESG Funds for		FY2020 Annual ESG Funds for					
	Non-COVID		Non-COVID		Non-COVID	COVID				
<b>Homelessness Prevention</b>										
Rental Assistance										
Relocation and Stabilization Services - Financial Assistance										
Relocation and Stabilization Services - Services										
Hazard Pay (unique activity)										
Landlord Incentives (unique activity)										
Volunteer Hours (unique activity)										
Training (unique activity)										
<b>Homeless Prevention Expenses</b>	0.00		0.00		0.00	0.00				
	FY2022 Annual ESG Funds for		FY2021 Annual ESG Funds for		FY2020 Annual ESG Funds for					
<b>Rapid Re-Housing</b>										
Rental Assistance										
Relocation and Stabilization Services - Financial Assistance	18,440.98									
Relocation and Stabilization Services - Services										
Hazard Pay (unique activity)										
Landlord Incentives (unique activity)										
Volunteer Hours (unique activity)										
Training (unique activity)										
<b>RRH Expenses</b>	18,440.98		0.00		0.00	0.00				
	FY2022 Annual ESG Funds for		FY2021 Annual ESG Funds for		FY2020 Annual ESG Funds for					
<b>Emergency Shelter</b>										
	Non-COVID		Non-COVID		Non-COVID	COVID				

Operations	17,390.98	4,060.00	4,160.49	
Renovation				
Major Repair				
Ownership				
Hazard Pay (unique activity)				
Volunteer Incentives (unique activity)				
Training (unique activity)				
Emergency Shelter Expenses	49,846.28	48,771.99	34,249.96	0.00
	FY2022 Annual ESG Funds for	FY2021 Annual ESG Funds for	FY2020 Annual ESG Funds for	
Temporary Emergency Shelter	Non-COVID	Non-COVID	Non-COVID	COVID
Essential Services				
Operations				
Leasing existing real property or temporary structures				
Acquisition				
Renovation				
Hazard Pay (unique activity)				
Volunteer Incentives (unique activity)				
Training (unique activity)				
Other Shelter Costs				
Temporary Emergency Shelter Expenses				0.00
	FY2022 Annual ESG Funds for	FY2021 Annual ESG Funds for	FY2020 Annual ESG Funds for	
Street Outreach	Non-COVID	Non-COVID	Non-COVID	COVID
Essential Services				
Hazard Pay (unique activity)				
Volunteer Incentives (unique activity)				
Training (unique activity)				
Handwashing Stations/Portable Bathrooms (unique activity)				
Street Outreach Expenses	0.00	0.00	0.00	0.00
	FY2022 Annual ESG Funds for	FY2021 Annual ESG Funds for	FY2020 Annual ESG Funds for	
Other ESG Expenditures	Non-COVID	Non-COVID	Non-COVID	COVID
Cell Phones - for persons in DoC/YP-OP funded projects (unique activity)				
Quarantine Entry (COVID)				
Electronic Health Records (unique activity)				
Training (unique activity)				
Vaccine Incentives (unique activity)				

Administration				
Other Expenses	29,069.68	62,157.65	2,271.00	0.00
	FY2022 Annual ESG Funds for Non-COVID	FY2021 Annual ESG Funds for Non-COVID	FY2020 Annual ESG Funds for Non-COVID	COVID
Total Expenditures	97,266.91	110,929.55	26,570.96	0.00
Match	190,764.53	45,266.87	91,227.86	
Total ESG expenditures plus match	288,121.44	156,196.42	117,798.82	

Total expenditures plus match for all years

Sources of Match

	FY2022	FY2021	FY2020	FY2019	FY2018	FY2017	FY2016	FY2015
Total regular ESG plus COVID expenditures brought forward	\$97,356.91	\$110,929.55	\$26,570.96	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total ESG used for COVID brought forward	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total ESG used for regular expenses which requires a match	\$97,356.91	\$110,929.55	\$26,570.96	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Match numbers from Financial Form	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Match Percentage	0.00%	0.00%	0.00%	0%	0%	0%	0%	0%

Match Source	FY2022	FY2021	FY2020	FY2019	FY2018	FY2017	FY2016	FY2015
Other Non-FSC HUD Funds								
Other Federal Funds								
State Government								
Local Government								
Private Pledge								
Other	190,764.53	45,266.87	91,227.86	12,628.96				
Fees								
Program Income								
Total Cash Match	190,764.53	45,266.87	91,227.86	12,628.96	0.00	0.00	0.00	0.00
Non-Cash Match								
Total Match	190,764.53	45,266.87	91,227.86	12,628.96	0.00	0.00	0.00	0.00